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# Anisotropy effects in $H^++H_2$ collisions

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Abstract: The effect of the anisotropy of the interaction potential on ion-diatom non-adiabatic collisions is analized by considering the influence of the anisotropy on orientation averaged total cross sections for charge transfer in  $\mathrm{H}^+ + \mathrm{H}_2(\mathrm{X}^1\Sigma_g^+)$  collisions. We discuss the possibility of employing simplified methods such as an isotropic approximation, where only the electronic energies and interactions of a single orientation averaged cross section is analized.

Keywords: Ion-molecule collisions; Charge transfer; DIM; Eikonal approximation.

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## 1 Introduction

Charge transfer (CT) in ion-H<sub>2</sub> collisions is usually described with methods based on the sudden approximation [1], which is generally applied for molecular rotation. At low energies, quantal calculations are carried out employing the infinite order sudden approximation (IOSA) (see e.g. [2]). At higher energies, semiclassical methods are used and the sudden approximation can also be applied for molecular vibration; at sufficiently high energy, the simple Franck-Condon approach is appropriate (see reviews of refs. [3] and [4]). A semiclassical treatment with rectilinear trajectories and the sudden approximation for rotation and vibration (SEIKON) has been used in several publications [5, 6, 7, 8], and the low-v limit of this approach has been studied in a recent work [9]. In practice, these calculations require the evaluation of the potential energy surfaces and non-adjubatic couplings for a large set of relative orientations of the H<sub>2</sub> internuclear axis with

non-adiabatic couplings for a large set of relative orientations of the  $H_2$  internuclear axis with respect to the ion position vector,  $\mathbf{R}$ ; this is one reason of the relatively few calculations carried out for ion-molecule collisions.

In recent years, several experiments [10, 11] have studied the dissociation of molecules after collision with ions as a function of the molecular orientation. Nevertheless, the main results of ion-molecule beam experiments are orientation-averaged cross sections (OACS); in fact, these are the only results available for single electron capture processes in ion-H<sub>2</sub> collisions. The calculation of OACS requires an extensive calculation of molecular data, as well as a cumbersome 2D interpolation of potential energy surfaces and dynamical couplings. For H<sub>2</sub> collisions with multicharged ions, CT takes place via non-adiabatic transitions at relatively large internuclear distances, where anisotropy effects are unimportant and, a simplified isotropic approximation is appropriate. In this approximation, energies and couplings are taken to be independent of the orientation of the H<sub>2</sub> internuclear axis. The situation is different for singly charged ions-H<sub>2</sub> collisions; in this case, transitions leading to CT occur at short R. We consider in this paper H<sup>+</sup>+H<sub>2</sub> collisions, which are the benchmark of these reactions, and are otherwise important in tokamak divertor plasmas (see [12, 13] and references cited therein). Early semiclassical calculations of ref. [14] reported a sizeable anisotropy effect on H<sup>+</sup>+H<sub>2</sub> CT cross sections, but the validity of this conclusion is limited by the Franck-Condon approximation employed to evaluate the vibronic dynamical couplings.

In ref. [7] it was shown that anisotropy effects on dinamical couplings are less important for  $H^++H_2$  than for  $Li^++H_2$  at energies E > 0.1 keV; results for  $Li^++H_2$  collisions [7] pointed out large differences between cross sections calculated using the isotropic approximation for different orientations, and between them and the OACS. However, it was also shown that an average of isotropic results yields cross sections in good agreement with the OACS. This finding, which implies a significant simplification of the computation, has not been checked for other systems. Moreover, the isotropic approximation is the basis of IOSA treatments, where calculations for

fixed molecular orientation are carried out, followed by an average of the ensuing cross sections; this is the method employed in IOSA treatments of CT in  $H^++H_2$  [15, 13].

As concluded in ref. [9], for  $H^++H_2$  at E < 0.1 keV, a calculation beyond the sudden vibrational approximation is required. The first aim of the present work is to study anisotropy effects in this energy range. We perform an eikonal vibronic close-coupling calculation, similar to that employed in [9], using the diatomics in molecules (DIM) potentials [16] because, as in refs. [17, 14, 15, 18, 13], it allows the evaluation of energies and interactions along each trajectory without using 2D interpolation, which is very useful when many orientations are considered. Although the DIM aproximation limits the precission of our results, the aim of the present paper is to study anisotropy effects rather than obtaining very precise cross sections.

The paper is organized as follows: In section 2 we explain the semiclassical vibronic closecoupling method employed, the use of DIM wavefunctions, and the calculation of OACS. In section 3 we present our main results, and discuss the use of simplified methods to evaluate OACS. Our conclusions are summarized in section 4. Atomic units are used unless otherwise stated.

# 2 Method

## 2.1 Basic equations.

Our method involves the following basic assumptions: 1) The semiclassical approximation with rectilinear nuclear trajectories. 2) The sudden approximation for rotation of the diatomic. 3) The expansion of the wave function for the remaining degrees of freedom in terms of a vibronic set. 4) The use of DIM electronic wavefunctions.

In the semiclassical approach the ion-diatomic relative motion is described classically with straight-line trajectories  $\mathbf{R} = \mathbf{b} + \mathbf{v}t$ , where  $\mathbf{R}$  is the H<sup>+</sup> position vector with respect to the center of the H<sub>2</sub> internuclear axis. The remaining degrees of freedom are treated quantally, and the corresponding wave function  $\Psi(\mathbf{r}_1, \mathbf{r}_2, \boldsymbol{\rho}, t)$  is solution of the semiclassical equation:

$$\left(H_{i}-i\frac{\partial}{\partial t}\Big|_{\boldsymbol{r}_{1},\boldsymbol{r}_{2},\boldsymbol{\rho}}\right)\Psi(\boldsymbol{r}_{1},\boldsymbol{r}_{2},\boldsymbol{\rho},t)=0,$$
(1)

where  $\rho$  is the target internuclear vector in the laboratory frame and  $r_{1,2}$  the electronic coordinates.  $H_i$  is the Hamiltonian of the form:

$$H_{\rm i}(\boldsymbol{r}_1, \boldsymbol{r}_2, \boldsymbol{R}, \boldsymbol{\rho}) = -\frac{1}{M_{\rm H}} \nabla_{\rho}^2 + H_{\rm el}\,, \qquad (2)$$

where  $M_{\rm H}$  is the mass of the proton and

$$H_{\rm el}(\boldsymbol{r}_1, \boldsymbol{r}_2, R, \rho, \gamma) = T_1 + T_2 + V_1 + V_2 + \frac{1}{r_{12}} + V_{\rm nuc} , \qquad (3)$$

being  $\gamma$  the angle between vectors  $\mathbf{R}$  and  $\boldsymbol{\rho}$ ,  $T_i$  the electronic kinetic energy operators,  $V_i$  the attraction potential of electron i by the three H nuclei, and  $V_{\text{nuc}}$  the nuclear repulsion potential. An indication of the validity of the semiclassical approximation for this system was given in [7], where practically identical quantal and semiclassical total cross sections were obtained in a calculation for E > 0.05 eV at the Franck-Condon level.

Equation (1) must be solved with the initial condition:

$$\Psi \sim_{t \to -\infty} \Psi_{i\mu}^{JM} = \rho^{-1} Y_{JM}(\hat{\boldsymbol{\rho}}) \psi_{i\mu} , \qquad (4)$$

with

$$\psi_{i\mu} = \Phi_i(\boldsymbol{r}_1, \boldsymbol{r}_2; \rho) \chi_{i\mu}(\rho) D^i(\boldsymbol{r}_1, \boldsymbol{r}_2, t) \exp(-\mathrm{i}E_i t) , \qquad (5)$$

where  $D^i$  is a plane-wave translation factor [19] that describes the translation of the H<sub>2</sub> electrons with respect to the origin of electronic coordinates and  $\Phi_i \chi_{i\mu}$  the wave function for the H<sub>2</sub> vibronic initial state. Analogously, a reaction exit channel has the form:

$$\Psi_{n\nu}^{J'M'} = \rho^{-1} Y_{J'M'}(\hat{\boldsymbol{\rho}}) \psi_{n\nu} , \qquad (6)$$

with

 $\psi_{n\nu} = \Phi_n(\boldsymbol{r}_1, \boldsymbol{r}_2; \rho) \chi_{n\nu}(\rho) D^n(\boldsymbol{r}_1, \boldsymbol{r}_2, t) \exp(-\mathrm{i}E_f t) \,. \tag{7}$ 

Using the sudden approximation for rotation, the collisional wavefunction is written as:

$$\Psi = \rho^{-1} Y_{JM}(\hat{\boldsymbol{\rho}}) \psi(\boldsymbol{r}_1, \boldsymbol{r}_2, \boldsymbol{\rho}, t) \,. \tag{8}$$

It can be shown (see ref. [5]) that, assuming that all M values are equally probable in the initial condition, the probability for transition to all rotational states of a given vibronic state  $\psi_{n\mu}$  can be expressed as an orientation averaged magnitude:

$$\mathcal{P}_{n\nu}(\boldsymbol{b}, v) = \lim_{t \to \infty} \sum_{J', M'} \sum_{M} (2J+1)^{-1} \left| \langle \Psi_{n\nu}^{J'M'} | \Psi \rangle \right|^2$$
$$= (4\pi)^{-1} \int \mathrm{d}\hat{\boldsymbol{\rho}} P_{n\nu}(\hat{\boldsymbol{\rho}}, \boldsymbol{b}, v) , \qquad (9)$$

with

$$P_{n\nu}(\hat{\boldsymbol{\rho}}, \boldsymbol{b}, v) = \lim_{t \to \infty} |\langle \psi_{n\nu} | \psi \rangle|^2 .$$
(10)

## 2.2 Vibronic close-coupling expansion.

In the DIM method (see [16]), one considers the three valence bond products of the form:

$$\begin{aligned}
\phi_{1} &= \Phi_{\mathrm{H}_{2}(^{1}\Sigma_{g}^{+})} \\
\phi_{2} &= \|\Phi_{\mathrm{H}_{2}^{+}(1s\sigma_{g})}\overline{\Phi}_{\mathrm{H}(1s)}\| \\
\phi_{3} &= \|\Phi_{\mathrm{H}_{2}^{+}(2p\sigma_{u})}\overline{\Phi}_{\mathrm{H}(1s)}\|.
\end{aligned}$$
(11)

We have employed these states to define the electronic part of the vibronic basis. Since the functions  $\phi_{1,2,3}$  are independent of  $\mathbf{R}$ , the dynamical couplings  $\langle \phi_i | \partial / \partial \mathbf{R} | \phi_j \rangle$  and  $\langle \phi_i | \partial / \partial \rho | \phi_j \rangle$  vanish, so this is a diabatic basis. In practice, we have checked that transitions from  $\phi_1$  and  $\phi_2$  to  $\phi_3$  are negligible, so we have only kept the states  $\phi_1$  and  $\phi_2$  in our calculation.

In the present calculation, we have expanded the function  $\psi$  in the vibronic basis { $\phi_1(\mathbf{r}; \mathbf{R}, \boldsymbol{\rho}) \chi_{\nu}(\rho)$ ,  $\phi_2(\mathbf{r}; \mathbf{R}, \boldsymbol{\rho}) \chi_{\nu}(\rho)$ }, where  $\chi_{\nu}$  are vibrational functions of the H<sub>2</sub> electronic ground state; they were calculated by diagonalizing the vibrational Hamiltonian in a Gaussian basis set, as explained in [20]. Explicitly:

$$\psi = \phi_1 \sum_{\nu} a_{1\nu}(t; \hat{\boldsymbol{\rho}}, \boldsymbol{b}, v) \chi_{\nu} \exp\left[-i \int_0^t H_{1\nu} dt'\right] + \phi_2 \sum_{\nu} a_{2\nu}(t; \hat{\boldsymbol{\rho}}, \boldsymbol{b}, v) \chi_{\nu} \exp\left[-i \int_0^t H_{2\nu} dt'\right], \qquad (12)$$

where we have included 14 vibrational states for each electronic state. The coefficients  $a_{j\nu}$  are obtained for each nuclear trajectory and molecular orientation, by solving the system of differential equations:

$$i\dot{a}_{j\nu} = \sum_{k\mu \neq j\nu} H_{j\nu\,k\mu} \exp\left[-i \int_0^t (H_{k\mu\,k\mu} - H_{j\nu\,j\nu}) dt'\right] a_{k\mu}$$
(13)

with

$$H_{j\nu\,k\mu}(R,\gamma) = \left\langle \phi_j \chi_\nu \left| -\frac{1}{M_{\rm H}} \frac{\partial^2}{\partial \rho^2} + H_{\rm el} \right| \phi_j \chi_\nu \right\rangle_{\boldsymbol{r}_1, \boldsymbol{r}_2, \rho} \,. \tag{14}$$

In the present calculation, we do not include electron translation factors because they introduce small corrections at the low velocities we are interested in. Besides, although in general these factors are required to remove spurious asymptotic couplings, the matrix elements (14) vanish when  $R \to \infty$ .

An alternative set of adiabatic vibronic states,  $\Phi_{j\nu}$ , is obtained by diagonalizing the Hamiltonian matrix of eq. (14). Calling U(t) the adiabatic-diabatic transformation matrix which fulfills:

$$\Phi_{j\nu} = \sum_{k\mu} U_{j\nu\,k\mu} \phi_k \chi_\nu \,, \tag{15}$$

the transition amplitudes in the adiabatic basis,  $c_{j\nu}$ , are related to the diabatic ones,  $a_{j\nu}$ , solutions of the system of differential equations (13), by:

$$c_{j\nu} = \sum_{k\mu} U_{j\nu k\mu} a_{k\mu} \,. \tag{16}$$

# 2.3 Orientation averaged cross sections.

The OACS for charge transfer and H<sub>2</sub> vibrational excitation are, respectively:

$$\sigma^{\rm ct}(v) = (4\pi)^{-1} \int d\hat{\boldsymbol{\rho}} \int P^{\rm ct}(\hat{\boldsymbol{\rho}}, \boldsymbol{b}, v) d\boldsymbol{b}$$
  

$$\sigma^{\rm ex}(v) = (4\pi)^{-1} \int d\hat{\boldsymbol{\rho}} \int P^{\rm ex}(\hat{\boldsymbol{\rho}}, \boldsymbol{b}, v) d\boldsymbol{b}, \qquad (17)$$

where, from eqs. (10) and (12):

$$P^{\mathrm{ct}}(\hat{\boldsymbol{\rho}}, \boldsymbol{b}, v) = \lim_{t \to \infty} \sum_{\nu=0}^{13} |a_{2\nu}(t; \hat{\boldsymbol{\rho}}, \boldsymbol{b}, v)|^2$$
$$P^{\mathrm{ex}}(\hat{\boldsymbol{\rho}}, \boldsymbol{b}, v) = \lim_{t \to \infty} \sum_{\nu=1}^{13} |a_{1\nu}(t; \hat{\boldsymbol{\rho}}, \boldsymbol{b}, v)|^2.$$
(18)

Probabilities for charge transfer or excitation to individual vibrational states can be obtained from the coefficients  $c_{j\nu}$  in the adiabatic basis (eq. (16)):

$$P_{\nu}^{\mathrm{ct}}(\hat{\boldsymbol{\rho}}, \boldsymbol{b}, v) = \lim_{t \to \infty} |c_{2\nu}(t; \hat{\boldsymbol{\rho}}, \boldsymbol{b}, v)|^{2}$$
$$P_{\mu}^{\mathrm{ex}}(\hat{\boldsymbol{\rho}}, \boldsymbol{b}, v) = \lim_{t \to \infty} |c_{1\mu}(t; \hat{\boldsymbol{\rho}}, \boldsymbol{b}, v)|^{2}.$$
(19)

The calculation of OACS requires the evaluation of the transition probabilities  $P^{\alpha}(\hat{\rho}, \boldsymbol{b}, \boldsymbol{v})$ for several molecular orientations in the laboratory reference frame or, equivalently, for several orientations of the vector  $\boldsymbol{v}$  with respect to  $\hat{\rho}$ . A simple approach to average the cross sections of eq. (17) was proposed in [5], where six relative orientations of  $\boldsymbol{v}$  and  $\hat{\rho}$  were considered, leading, for ion-H<sub>2</sub> collisions, to the expression:

$$P^{\alpha}(b,v) = \frac{1}{3} (P_{\rm I}^{\alpha}(b,v) + P_{\rm II}^{\alpha}(b,v) + P_{\rm III}^{\alpha}(b,v)), \qquad (20)$$

where  $P_{I,II,III}^{\alpha}$  are the transition probabilities for the process  $\alpha$  in the collisions where the trajectory orientations are defined as (see fig. 1):

- 1. Trajectory I:  $\boldsymbol{b} \perp \boldsymbol{\rho}, \boldsymbol{v} \parallel \boldsymbol{\rho}$ .
- 2. Trajectory II:  $\boldsymbol{b} \parallel \boldsymbol{\rho}, \boldsymbol{v} \perp \boldsymbol{\rho}$ .



Figure 1: Geometry of the trajectories TI, TII and TIII used to evaluate the OACS in eq. (21).

3. Trajectory III:  $\boldsymbol{b} \perp \boldsymbol{\rho}, \, \boldsymbol{v} \perp \boldsymbol{\rho}$ .

The trajectory averaged cross sections are, then:

$$\sigma^{\alpha}(v) = \frac{1}{3}(\sigma_{\mathrm{I}}^{\alpha} + \sigma_{\mathrm{II}}^{\alpha} + \sigma_{\mathrm{II}}^{\alpha}) = 2\pi \int_{0}^{\infty} \mathrm{d}b \, b P^{\alpha}(b, v) \,. \tag{21}$$

It must be noted that the angle  $\gamma$  changes during the collision along trajectory I from 0 at  $t = -\infty$  to  $\pi/2$  at t = 0, going back to 0 during the second half of the collision; from  $\pi/2$  to 0 and then back to  $\pi/2$  along trajectory II; and it is equal to  $\pi/2$  along trajectory III.

An additional approximation is obtained by taking a fixed molecular orientation during the trajectory, assuming:

$$H_{j\nu\,k\mu}(R,\gamma) \simeq H_{j\nu\,k\mu}(R,\gamma_0)\,,\tag{22}$$

which leads to a system of differential equations (13), similar, for all nuclear trajectories, to that obtained for H<sup>+</sup> collisions with a spherically symmetric target. The solutions of this system are the isotropic coefficients  $a_{j\nu}(t, \gamma_0)$ , and we can define isotropic cross sections  $\sigma_{iso}^{\alpha}(v, \gamma_0)$  as:

$$\sigma_{\rm iso}^{\alpha}(v,\gamma_0) = 2\pi \int_0^\infty \mathrm{d}b \, b P_{\rm iso}^{\alpha}(b,v,\gamma_0) \,, \tag{23}$$

with  $P_{iso}^{ct}(b, v, \gamma_0)$  and  $P_{iso}^{ex}(b, v, \gamma_0)$  defined analogously to eq. (18). An approximation to the OACS can be then obtained by averaging the isotropic cross sections (see ref. [15]):

$$\overline{\sigma_{\rm iso}^{\alpha}}(v) = 2\pi \int_0^\infty \mathrm{d}b \, b \overline{P_{\rm iso}^{\alpha}}(b, v) \,, \tag{24}$$

with

$$\overline{P_{\rm iso}^{\alpha}}(b,v) = \int_0^{\pi/2} \mathrm{d}\gamma_0 \,\sin\gamma_0 \,P_{\rm iso}^{\alpha}(b,v,\gamma_0).$$
(25)

### 3 Results

The Hamiltonian matrix elements in the vibronic basis can be expressed as:

$$H_{j\nu\,k\mu}(R,\gamma) = \int_0^\infty \mathrm{d}\rho\,\chi_\nu(\rho) \left[ -\frac{1}{M_\mathrm{H}} \frac{\partial^2}{\partial\rho^2} + H_{jk}(R,\gamma) \right] \chi_\mu(\rho)\,,\tag{26}$$

where

$$H_{jk}(R,\gamma) = \langle \phi_j | H_{\rm el} | \phi_k \rangle \boldsymbol{r}_1, \boldsymbol{r}_2.$$
<sup>(27)</sup>

The orientation dependence of the couplings of eq. (13) is therefore a consequence of the dependence of the electronic matrix elements  $H_{jk}$  of eq. (27), which is illustrated in figure 2. There, we have plotted the values of  $H_{22} - H_{11}$  and  $H_{12}$  along a representative trajectory with  $b = 1.75 \, a_0$ , and for t > 0. In the top panel of figure 2, we show the values of the differences:  $[H_{22} - H_{11}](R, \gamma = 90^{\circ})$  and  $[H_{22} - H_{11}](R, \gamma = 45^{\circ})$ , while the bottom panel contains  $H_{12}(R, \gamma = 90^{\circ})$  and  $H_{12}(R, \gamma = 45^{\circ})$ . In both cases, to make the illustration more clear, we have subtracted from these couplings the corresponding values for  $\gamma = 0$ . It can be noted that the dependence of the matrix elements  $H_{jk}$  on  $\gamma$  is almost linear. We have also included in figure 2 the orientation averaged couplings

$$H_{ij}^{\gamma} = \int_0^{\pi/2} \mathrm{d}\gamma \sin\gamma H_{ij}(R,\gamma) \,. \tag{28}$$

As a consequence of the quasi-linear dependence of  $H_{ij}$  on  $\gamma$ , these average values are close to the matrix elements evaluated at  $\gamma = 57.3^{\circ} \simeq 60^{\circ}$ , obtained for a linear function  $H_{ij}(\gamma)$ .

In fig. 2, we also include the values of the matrix elements as functions of Z = vt for trajectories I, II and III. From the definition of trajectory III (see also fig. 1), the angle  $\gamma \equiv 90^{\circ}$  along this trajectory, which leads to couplings identical to the isotropic ones for this value of  $\gamma$ . Couplings along trajectory I join smoothly the isotropic values for  $\gamma = 90^{\circ}$  at t = 0 with those for  $\gamma = 0^{\circ}$ at  $t \to \infty$ , while the inverse situation holds for trajectory II: the values for  $\gamma = 0^{\circ}$  are reached at t = 0 and those for  $\gamma = 90^{\circ}$  for  $t \to \infty$ . From fig. 2, we can also note that the orientation dependence of the couplings is very small at large internuclear distances; as a rule, we can neglect the anisotropy for Z > 4 a<sub>0</sub> (R > 4.4 a<sub>0</sub>)

Integration of the system of differential equations (13) in the isotropic approximation yields the CT cross sections  $\sigma_{iso}^{ct}(v, \gamma_0)$  (see the top panel in fig. 3); these cross sections do not appreciably depend on  $\gamma_0$  for E > 0.2 keV (differences are of about 10%), in agreement with the conclusions of ref. [7], but the dependence increases as E decreases:  $\sigma_{iso}^{ct}(v, \gamma_0 = 10^\circ)$  is a factor of 5 larger than  $\sigma_{iso}^{ct}(v, \gamma_0 = 90^\circ)$  at  $E \simeq 40$  eV. We have also included in fig. 3 the values of  $\sigma_{I,I,III}^{ct}$ , which exhibit smaller differences than the isotropic ones (at most a factor of 2 between  $\sigma_{II}$  and  $\sigma_{III}$  at E = 0.05 keV). As a first result of the present calculation, the anisotropy of the couplings leads to a significant orientation dependence of total CT cross sections.



Figure 2: Hamiltonian matrix elements  $H_{ij}(R, \gamma)$  as functions of  $Z(=vt = \sqrt{R^2 - b^2})$  for several values of  $\gamma$  and with  $\gamma(t)$  for trajectories I, II and III. The full lines labelled as  $H^{\gamma}$  are the average couplings from eq. (28). Top panel: values of  $[H_{22} - H_{11}](R, \gamma) - [H_{22} - H_{11}](R, \gamma = 0)$ . Bottom panel: values of  $H_{12}(R, \gamma) - H_{12}(R, \gamma = 0)$ .

The OACS obtained using eq. (21) and the simplified methods of eqs. (24) are plotted in the middle panel of fig. 3. It can be noted that the results of averaging over trajectories (eq. (21)) or averaging the isotropic cross sections (eq. (24)) are practically indistinguishable, and the small differences at low v are probably due to the simple six-trajectory expression used to perform the trajectory average. There is a very good agreement between the OACS and the isotropic result with  $\gamma_0 = 45^{\circ}$ , which is repeated in the middle panel (with diamonds) for clarity. This indicates that the calculation of  $\sigma_{iso}^{ct}(v, \gamma_0)$  provides a good approximation to  $\overline{\sigma}_{iso}^{ct}$  when an intermediate  $\gamma_0$  is employed, such that the coupling matrix  $\boldsymbol{H}$  fulfills  $\boldsymbol{H}(R, \gamma_0) \simeq \boldsymbol{H}_{ij}^{\gamma}(R)$  (see eq. (28)). This supports our *ab initio* results of ref. [9], obtained with  $\gamma_0 = 45^{\circ}$ .

In the bottom panel of fig. 3, we compare the present OACS with the experimental values of ref. [21]. We have also included in this figure the SEIKON values obtained with DIM potentials and fixed  $\gamma_0 = 45^{\circ}$  and the vibronic close coupling result calculated with *ab initio* energies and couplings and fixed orientation  $\gamma_0 = 45^{\circ}$ . By comparing the present averaged results, which are practically identical to the isotropic ones obtained at  $\gamma_0 = 45^{\circ}$ , with the *ab initio* ones, we obtain that the errors introduced in the total cross section by the DIM approximation are smaller than 25%. The comparison with the SEIKON calculation confirms the result of ref. [7] of a small orientation dependence in the energy range where the SEIKON method is valid.

To analyze the results of fig. 3, we have plotted in fig. 4 the values of transition probabilities  $bP^{\rm ct}(b)$  and  $bP^{\rm ct}_{\rm iso}(b)$  for two impact energies (4 keV and 62.5 eV). At E = 4 keV, the shapes of all curves in fig. 3 are very similar in all calculations, showing a broad maximum for b > 3 a<sub>0</sub>, where the couplings are practically independent of  $\gamma$  (see fig. 2). When v decreases, the importance of trajectories with small b increases leading to a larger anisotropy of the transition probabilities. The comparison of  $bP^{\rm ct}(b)$  of trajectory II with those of trajectories I and III at b > 2.0 a<sub>0</sub> and E = 62.5 eV shows a shift of the former to larger b. To explain this shift (see also ref. [22]), we assume that, at relatively large b, the interaction matrix elements are dominated by the interaction of the incident  $H^+$  ion with the nearest H atom of  $H_2$ , and a given distance from  $H^+$  to the nearest H nucleus is reached at larger b in trajectory II than in I and III (see fig. 1). At low b, the interaction between the incident ion and both H atoms are equally important, yielding different shapes of the three curves in fig. 3(d). By comparing the transition probabilities for trajectories I and II in fig. 3(d) with those calculated using the isotropic approximation (fig. 3(e)), and other intermediate values of  $\gamma_0$  not shown in the figure, it can be seen that the former calculation cannot be simplified by taking a constant value of  $\gamma$  along these trajectories, while, as mentioned before, for trajectory III  $\gamma \equiv \pi/2$ . However, a good agreement is found between the averages shown in the third panel of fig. 4, which explains the good agreement between the OACS of fig. 3 as due to a compensation of differences between the isotropic calculations. The possibility of using a single isotropic calculation to evaluate orientation averaged transition probabilities is checked



Figure 3: Charge transfer cross sections calculated using different approximations. Top panel: comparison between cross sections obtained for three characteristic trajectories (grey lines,  $\sigma_{I}^{ct}$ ,  $\sigma_{II}^{ct}$  and  $\sigma_{III}^{ct}$ ) with those obtained with the isotropic approximation at three angles (dashed lines,  $\sigma_{iso}^{ct}(\gamma_0 = 10^\circ)$ ,  $\sigma_{iso}^{ct}(\gamma_0 = 45^\circ)$  and  $\sigma_{iso}^{ct}(\gamma_0 = 90^\circ)$ ), as labeled in the figure. Middle panel: comparison between cross sections averaged over trajectories,  $\sigma^{ct}$  (eq. (21), grey solid line), with those averaged over isotropic results,  $\overline{\sigma_{iso}^{ct}}$  (eq. (24), dashed line), and with the isotropic result at  $\gamma_0 = 45^\circ$ ( $\diamond$ ). Bottom panel: comparison between the trajectory average of the middle panel (grey solid line) with an isotropic calculation at  $\gamma_0 = 45^\circ$  using *ab initio* couplings and potentials (solid triangles), a SEIKON calculation with DIM couplings and potentials at  $\gamma_0 = 45^\circ$  (dashed-dotted line) and the experimental results of [21]. Note the different scales in the panels.

in fig. 5. We find that  $bP_{iso}^{ct}(b; \gamma_0 = 60^\circ)$ , which is very close to the probability obtained with the average interaction matrix, approximately reproduces the shape of the orientation averaged transition probabilities.

A more detailed information on the origin of the differences between transition probabilities for trajectories I, II and III is obtained from the "collision histories", which are the time evolution of the populations  $|a_{j\nu}|^2$  of the vibronic states (see eq. (12)). To relate these populations with the adiabatic CT mechanism at low v, described in [9], the collision histories for b = 1.75 a<sub>0</sub> and E = 62.5 eV are plotted in fig. 6 in diabatic and adiabatic bases (see eq. (16)), and to help to understand them, the energy correlation diagrams in both bases are shown in figs. 7 and 8 for trajectories I and II respectively. In this respect, it is interesting to note the large differences between adiabatic and diabatic correlation diagrams. This indicates that the interaction matrix elements in the latter are very strong, which makes difficult to understand the mechanism in this basis; e.g. the crossings are not traversed quasi diabatically.

The mechanism for trajectories I and III, in the diabatic representation, involves strong transitions from the entrance channel to the charge transfer ones for t < 0 through the series of crossings. This gives rise to the oscillations shown in the total charge transfer population of fig. 6. For t > 0, the population is transferred back from the charge transfer states to the entrance channel and the excited vibrational states of H<sub>2</sub>. The mechanism in the adiabatic basis is rather different: the first steps, at t < 0, are transitions from the entrance channel to the first excitation channels at R < 5 a<sub>0</sub>, where the relevant energy surfaces approach. In this basis, CT takes place at t > 0through transitions from excited vibrational states of H<sub>2</sub> to the charge transfer ones, because they are quasi degenerate; this is the mechanism described in [9] and first suggested in the trajectory surface hopping study of ref. [23].

The mechanism for trajectory II (figure 6(b,b')) looks very different of those for the other trajectories. However, the differences are mainly due to the shift effect to larger b values of  $bP^{\rm ct}(b)$  mentioned above: for the particular value of b (1.75 a<sub>0</sub>) of fig. 6, and using the adiabatic representation, the transitions near Z = 0 in trajectory II take place in a series of narrow avoided crossings, which are reached in trajectories I and III at lower b. A common characteristic of the illustrations shown in fig. 6 is that the non-adiabatic transitions take place over a wide time interval, which implies a wide range of values  $\gamma$ , in trajectories I and II, leading to the inaccuracy of using a simplified treatment with couplings calculated for a single  $\gamma$  to evaluate the corresponding transition probabilities.



Figure 4: Charge transfer transition probabilities for E = 4 keV (panels (a,b,c)) and E = 62.5 eV (panels (d,e,f)). Panels (a) and (d):  $bP^{\text{ct}}(b)$  for trajectories I, II y III, as indicated in the figure. Panels (b) and (e):  $bP^{\text{ct}}_{\text{iso}}(b, \gamma_0)$  for  $\gamma_0 = 0^\circ$ ,  $45^\circ$  and  $90^\circ$ , as indicated in the figure. Panels (c) and (f): Average of transition probabilities obtained with the isotropic approximation for several values of  $\gamma_0$  (dashed-thick lines)  $b\overline{P^{\text{ct}}_{\text{iso}}}(b)$  (eq. (25)); average of transition probabilities obtained for trajectories I, II and III (solid-thin line)  $bP^{\text{ct}}(b)$  (eq. (20)).



Figure 5: Comparison between the charge transfer transition probabilities averaged over  $\gamma_0$  of figure 4, panel (f), (grey thick line) with a single isotopic result at  $\gamma_0 = 60^\circ$  (thin line) at E = 62.5 eV.

## 4 Conclusions

The calculations of the present work focus on the effect of the anisotropy of the interaction potential on charge transfer cross sections in  $\mathrm{H}^+ + \mathrm{H}_2(\mathrm{X}^1\Sigma_g^+, \nu = 0)$  collisions, as a benchmark case of ion-diatomic molecule collisions. In the calculation we have employed commonly-used DIM electronic wave functions. At high impact energies,  $E > 200 \,\mathrm{eV}$ , this process takes place [9] through direct transitions from the entrance channel to the CT ones, which are accurately described by means of a sudden vibrational approach. We have shown that, at these energies, total CT cross sections arise as a consequence of transitions at relatively large impact parameters, and therefore large  $\mathrm{H}^+ + \mathrm{H}_2$  distances, where coupling matrix elements are practically independent of the angle  $\gamma$  between vectors  $\mathbf{R}$  and  $\boldsymbol{\rho}$ . For  $E < 200 \,\mathrm{eV}$ , a resonant mechanism becomes important; this involves transitions from excited  $\mathrm{H}_2$  vibrational states, populated at short R, anisotropy effects become more important at low v. In order to describe the resonant mechanism, it is indispensable [9] to use a vibronic close-coupling basis, and our method is based on this formalism.

A first set of results of the present calculation includes cross sections calculated for trajectories



Figure 6: Collision histories of vibrational excitation (VE) and charge transfer (CT) channels for trajectories I (a,a'), II (b,b') and III (c,c'). Collision energy is E = 62.5 eV and impact parameter b = 1.75 a<sub>0</sub>. Panels (a,b,c) contains results in the diabatic basis, while panels (a',b',c') are in the adiabatic basis.



Figure 7: Energies of vibronic adiabatic (top panel) and diabatic (bottom panel) states as functions of the nuclear coordinate Z = vt for the trajectory I with  $b = 1.75 a_0$ . Solid lines correspond to elastic and H<sub>2</sub> vibrational excitation channels and dashed lines to charge transfer channels.



Figure 8: Same as fig. 7, but for trajectory II

where the H<sub>2</sub> orientations with respect to the ion velocity are kept fixed, but  $\gamma$  changes during the collisions. In particular, we have considered the three trajectories of fig. 1; these calculations are directly relevant to possible orientation dependent experiments such as those reported in refs. [10, 11]. Our results at energies E < 200 eV show that, for this system, CT transition probabilities and cross sections cannot be obtained by assuming that transitions take place at a single value of  $\gamma$ , but an interval of angles are important, and this is the origin of the differences found between the calculated cross sections for the three trajectories. Besides, although this orientation dependence is a consequence of the anisotropy of the electronic Hamiltonian matrix elements, its influence on the final results is conditioned by the mechanism of the process in the vibronic basis. This is clear from the mechanisms discussed in fig. 6, with transitions taking place in the crossing regions of fig. 7, which do not have a counterpart in the electronic Hamiltonian matrix elements of fig. 2.

The second point considered in this work refers to the calculation of OACS, which are the most common output of experiments. Since the evaluation of OACS requires the calculation of potential energy surfaces and couplings as functions of the three parameters R,  $\rho$  and  $\gamma$ , involving in general a considerable computational effort, it is useful to check on approximate methods to evaluate OACS. In this respect, we have considered an isotropic approximation where  $\gamma(t)$  is taken equal to a constant  $\gamma_0$ . Such an isotropic calculation of CT cross sections has been carried out for  $\gamma_0 = 45^\circ$  with both DIM and *ab initio* couplings, yielding errors much smaller than the variation of DIM cross sections with  $\gamma_0$ , which support the usefulness of the DIM method to study anisotropy effects. Our main conclusions on this second point are: 1) The CT cross sections averaged over trajectories are practically identical to the average over  $\gamma_0$  of cross sections from isotropic calculations. 2) The average of cross sections agrees with the cross section calculated with a Hamiltonian matrix averaged over  $\gamma$  that corresponds to a value of  $\gamma_0 \simeq 60^{\circ}$ . 3) Small differences are found between CT cross sections from isotropic calculations with  $\gamma_0$  in the range 45°–60°. These results are encouraging in order to simplify the calculation, but further work is required, since the agreement between cross sections seems to be due to cancellations in the average procedure of differences between transition probabilities for different  $\gamma_0$  or different trajectory orientations. However, it is expected that the CT reaction in  $H^++H_2$  presents comparatively larger anisotropy than collisions involving multicharged ions, where transitions occur at large R, and because the special CT resonant mechanism at low v increases the importance of transitions at short R in CT cross sections.

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