

*Full Research Paper*

## **Simulated Effects of Soil Temperature and Salinity on Capacitance Sensor Measurements**

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**Abstract:** Dielectric measurement techniques are used widely for estimation of water content in environmental media. However, factors such as temperature and salinity affecting the readings require further quantitative investigation and explanation. Theoretical sensitivities of capacitance sensors to liquid salinity and temperature of porous media were derived and computed using a revised electrical circuit analogue model in conjunction with a dielectric mixing model and a finite element model of Maxwell's equation to compute electrical field distributions. The mixing model estimates the bulk effective complex permittivities of solid-water-air media. The real part of the permittivity values were used in electric field simulations, from which different components of capacitance were calculated via numerical integration for input to the electrical circuit analogue. Circuit resistances representing the dielectric losses were calculated from the complex permittivity of the bulk soil and from the modeled fields. Resonant frequencies from the circuit analogue were used to update frequency-dependent variables in an iterative manner. Simulated resonant frequencies of the capacitance sensor display sensitivities to both temperature and salinity. The gradients in normalized frequency with temperature ranged from negative to positive values as salinity increased from 0 to 10 g L<sup>-1</sup>. The model development and analyses improved our understanding of processes affecting the temperature and salinity sensitivities of capacitance sensors in general. This study provides a foundation for further work on inference of soil water content under field conditions.

**Keywords:** soil water content, capacitance, permittivity, electrical conductivity, resonant frequency.

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## 1. Introduction

Capacitance-based electronic instruments are gaining common application in the field for estimation of soil water content from bulk dielectric properties. Such instruments are generally sensitive to several factors, including soil temperature and electrical conductivity, affecting the effective complex permittivity of environmental media surrounding the probe. Temperature-dependence of the measured bulk permittivity and apparent water content has been observed in the laboratory [1-8]. Thereby, possible effects caused by temperature sensitivity of the instrumental electronics have been recognized for different transmission line-type electromagnetic methods [4, 9, 10]. Experiments under field conditions have also been conducted to infer temperature effects on capacitance measurements [11].

Baumhardt et al. [3] conducted greenhouse experiments in soils under diurnal soil temperature fluctuations. Bulk soil electrical conductivities were on the order of  $100 \text{ mS m}^{-1}$ . They demonstrated that the capacitance probes were sensitive to both temperature and electrical conductivity, where a fluctuation of approximately  $15^\circ\text{C}$  caused a fluctuation in the apparent soil water content of  $0.04 \text{ m}^3 \text{ m}^{-3}$  based on the default calibration of the Sentek EnviroSCAN<sup>TM</sup> instrument relating sensor readings to soil water content. Subsequently, Evett et al. [12] reported a temperature dependence  $d\theta/dT$  of  $0.0005$  to  $0.0017 \text{ m}^3 \text{ m}^{-3} \text{ K}^{-1}$  using factory and soil-specific calibrations.

Despite advances in the theory [4, 13-15], the mechanisms for dielectric loss in porous multi-phase media (soils) over a broad range of frequencies are complicated [16], such that a simple correction for temperature may not be possible [5]. Kelleners et al. [14] used electrical circuit theory as an analogue to describe the capacitance sensor in terms of a resistor-capacitor-inductor (RCL) circuit, where electrical conductivity of test liquids was shown to decrease the resonant frequency. Likewise, Robinson et al. [15] identified a decrease in the resonant frequency with increasing electrical conductivity under isothermal conditions using a different probe configuration (a surface capacitance insertion probe).

There remains a practical need for methods to compensate for temperature effects in soils of various bulk electrical conductivities, especially in shallow applications where temperature and water content fluctuations can be significant. Physics-based theory and methods as presented in this work are needed to predict a range of environmental conditions where temperature and electrical conductivity can affect instrumental measurements used to estimate soil water content.

### 1.1 Previous Sensor Applications and Testing

The present theoretical developments are meant to be as generic as possible, while the capacitance sensor geometry is specific to a commercial device: Sentek capacitance sensors used on EnviroSMART<sup>TM</sup> and EnviroSCAN<sup>TM</sup> probes. Both probes are inserted vertically inside a plastic access tube such that the outside diameter of the access tube contacts the surrounding media (soil). Figure 1 shows a cross-section

of the sensor geometry. More detailed descriptions and images have been reported in previous studies performed in the laboratory [17,18] and field [19].

### 1.2 Conversion of Frequency Measurements to Permittivities

The normalized sensor reading  $N$  derived from the resonant frequency  $f_r$  is a dimensionless number (also known as “scaled frequency”):

$$N \equiv \frac{f_{r,a} - f_r}{f_{r,a} - f_{r,w}} \quad (1)$$

where  $f_{r,a}$  and  $f_{r,w}$  are the resonant frequencies measured in air and pure water at the reference temperature  $T_{\text{ref}} = 20^\circ\text{C}$  with corresponding environmental permittivities  $\epsilon_a = 1$  and  $\epsilon_w' = 80.2$  (real part valid for 100 - 250 MHz). According to the above definition, the normalized reading is  $N = 0$  for an air reading and  $N = 1$  for a measurement in pure water at temperature  $T = T_{\text{ref}}$ .

The relation  $\hat{\epsilon}(N)$  between the permittivity  $\hat{\epsilon}$  of environmental media surrounding the access tube and  $N$  was determined experimentally in a laboratory characterization of the sensor presented in Schwank et al. [18]:

$$\hat{\epsilon}(N) = (\epsilon_a + (\epsilon_w' e^{-k} - 1 - a_2)N + a_2 N^2) \cdot e^{kN} \quad (2)$$

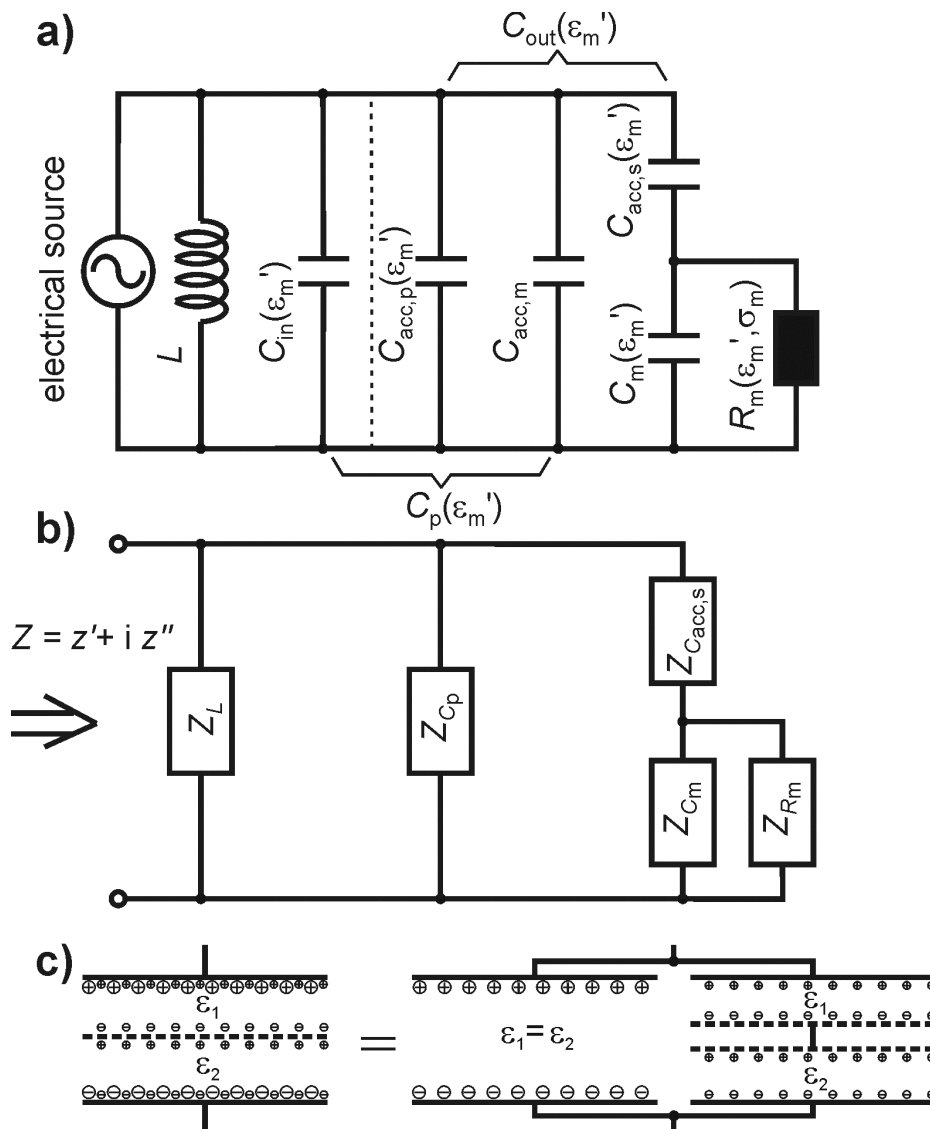
The experiments leading to this empirical relation were performed in almost lossless mixtures of water and dioxane (1,4-diethylene dioxide:  $\text{C}_4\text{H}_8\text{O}_2$ ) with real permittivities in the range of  $2.4 \leq \hat{\epsilon} \approx \epsilon_m' \leq 78.4$ ; and the fitting parameters were determined as  $a_2 = 1.12819$  and  $k = 6.64846$ . The parameters were optimized to fit permittivities in the range applicable to bulk soil-water-air mixtures ( $4 < \epsilon_m' < 40$ ), such that (2) is not intended for applications in pure water. Combining (1) with (2) allows for estimation of  $\epsilon_m' \approx \hat{\epsilon}$  of a non-conducting medium from  $f_r$  measured with the capacitance sensor. However, in a conducting medium the measurement based  $\hat{\epsilon}(N)$  is expected to deviate significantly from the value  $\epsilon_m'$  which is inherently independent of the medium conductivity.

## 2. Methods

The resonant frequency  $f_r(\epsilon_m)$  of a capacitance sensor is affected by the complex permittivity  $\epsilon_m = \epsilon_m' + i \epsilon_m''$  of the medium (soil) surrounding the probe. The positive imaginary part used in this notation is the consequence of the negative time factor  $\exp(-i \omega t)$  generally used by physicists for representing wave functions, where  $i \equiv \sqrt{-1}$ . The bulk soil electrical DC-conductivity  $\sigma_m$ , volumetric water content  $\theta$ , and temperature  $T$  of the soil medium affect both the real and the imaginary parts  $\epsilon_m'$  and  $\epsilon_m''$ . Because the salinity  $S$  of the liquid phase affects  $\sigma_m$ , the resonant frequency  $f_r$  response of the capacitance probe is sensitive to  $\theta$ ,  $S$ , and  $T$  specifying the bulk soil status.

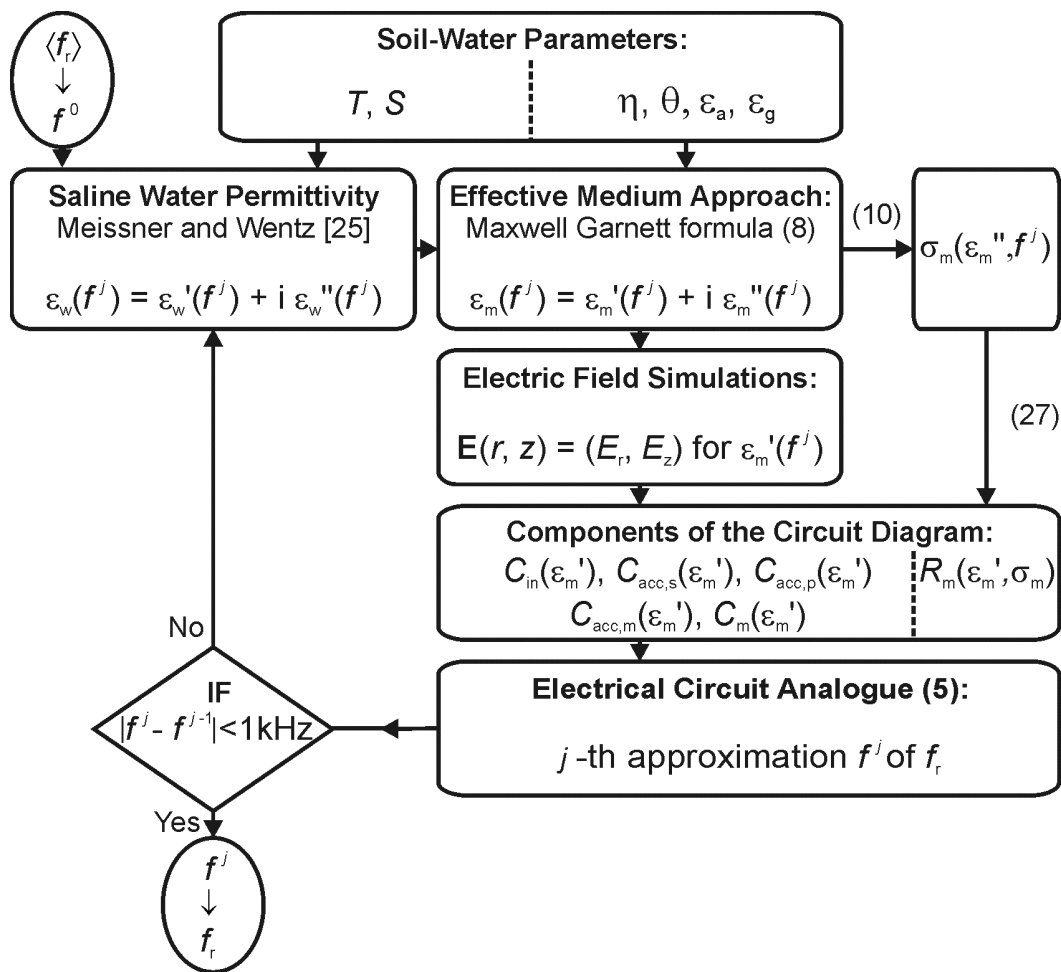
The approach to predict  $f_r(\theta, S, T)$  is based on linking three types of models. First, the electrical circuit analogue shown in figure 1 is used to represent the capacitance sensor which is based on a resonance phenomenon. Second, an effective medium approach is used to estimate the frequency-dependent complex effective permittivity  $\epsilon_m(f)$  of the bulk soil surrounding the probe for given  $\theta$ ,  $S$ , and  $T$ . Third, Maxwell's

equations are solved numerically to derive the electrical field  $\mathbf{E}$  caused by the sensor configuration for the environmental permittivity  $\epsilon_m(f)$ . The values of the circuit analogue elements (figure 1) are then computed from  $\mathbf{E}$ . Fourth, the electrical circuit analogue model is solved for  $f_r$ . If the bulk soil is considered as a dispersive medium with frequency-dependent  $\epsilon_m(f)$ , the sensor resonance  $f_r$  has to be determined iteratively as illustrated in figure 2.



**Figure 1.** Circuit diagram used for modeling the frequency response of the capacitance sensor (a and b).

Total capacitance is composed of  $C_{in}$  and  $C_{out}$  due to volume inside and outside the inner access tube boundary.  $C_{acc,p}$  and  $C_{acc,s}$  are the parallel and the serial parts of the access tube capacitance, respectively.  $C_{acc,m}$  accounts for the capacitance of the volume outside the inner access tube boundary if the dielectric contrast between the medium and the access tube disappears. The parallel connection of  $C_m$  and  $R_m$  is the electrical analogue of the conductive medium (soil) outside the access tube. The symbolic justification of  $C_{acc,m}$  is sketched in panel c.



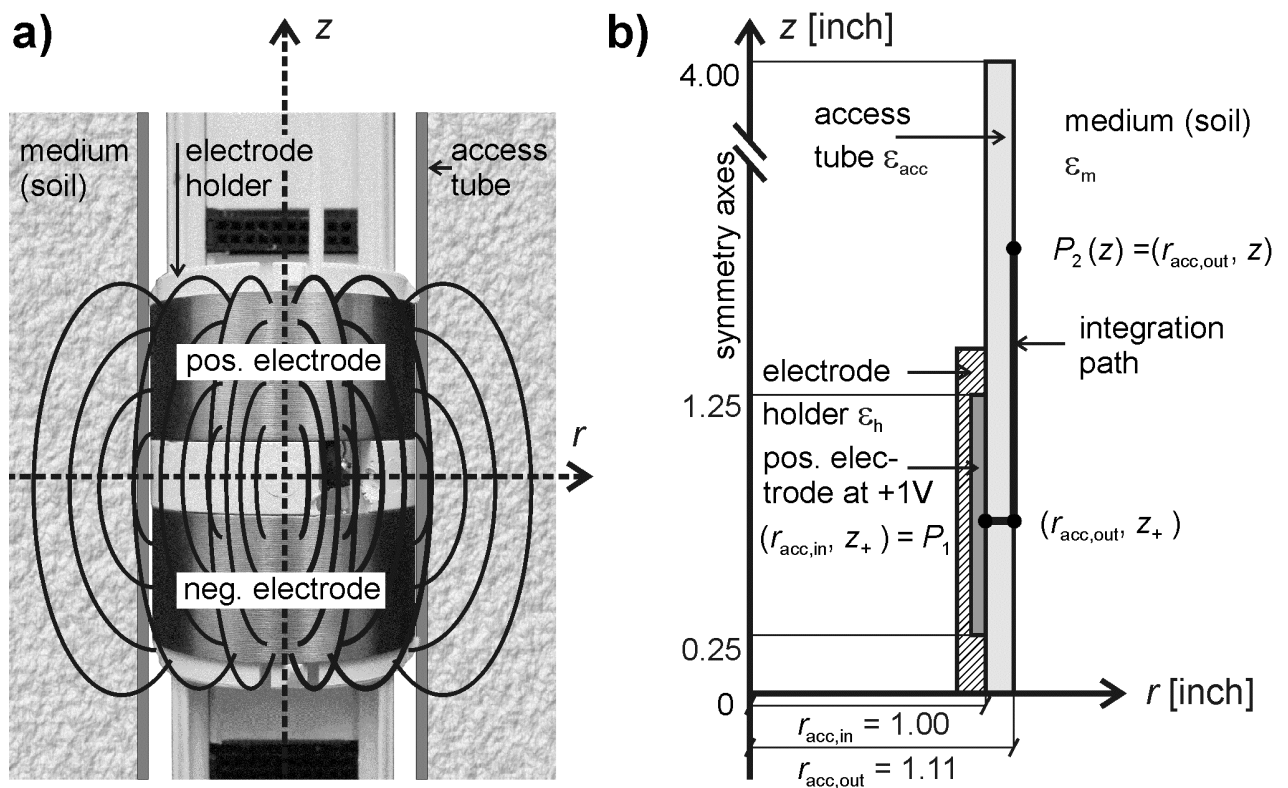
**Figure 2.** Flow-chart of the iterative approach for modeling the sensor resonance  $f_r$  determined by the sensor properties (figure 1) and the effective permittivity  $\epsilon_m$  of the porous solid-water-air medium (soil) surrounding the probe. Relevant equation numbers are indicated (in parentheses).

### 2.1 Electrical Circuit Analogue

The frequency response of the capacitance sensor is modeled using a detailed circuit analogue with more components of capacitance than the one used by Kelleners et al. [14] and a resistor, which was neglected by Schwank et al. [18] in the absence of significant dielectric losses. The arrangement of the circuit diagram components considered here and assumed to represent the spectral characteristics of the sensor are shown in figure 1a.

The electrical source and the inductance  $L$  are part of the sensor electronic board which is mounted inside the sensor electrode rings. The value of the inductance  $L$  is calculated from the measured coil length  $l = 4$  mm, mean diameter  $d = 3.5$  mm, and the number of turns  $n = 6.5$  such that  $L = F \cdot n^2 d = 91.95$  nH with the factor  $F = 0.62181$  given in Terman [20, pp. 53-54]. The total capacitance  $C_{tot}$  is composed of inner and outer capacitances with respect to the ring electrodes acting in parallel. These partial capacitances are associated with different volumes in the vicinity of the sensor electrodes.

Figure 3a provides a close-up view of the ring-capacitor sensor with symbolized electric field  $\mathbf{E}$  spreading through the access tube into the media (soil). The dashed lines indicate the axes of symmetry in the vertical,  $z$ , and radial horizontal,  $r$ , directions. In Fig. 3b the sensor geometry used for the simulations is shown in cross-section. The inner capacitance  $C_{in}$  is caused by the sensor volume with  $r \leq r_{acc,in} = 1$  inch (25.4 mm) inside the access tube. This volume includes the axisymmetric electrode holder and also the electronic board and wiring, which are not axisymmetric. The capacitance caused by the access tube volume  $r_{acc,in} < r < r_{acc,out}$  is separated into a parallel part  $C_{acc,p}$  and a serial part  $C_{acc,s}$  with respect to the electrical source. The volume outside the access tube ( $r > r_{acc,out}$ ) is represented by the capacitance  $C_m$  with an electrical resistance  $R_m$  in parallel for taking into account the conductivity losses of the medium (soil).



**Figure 3.** a) Sensor with symbolized electric field  $\mathbf{E}$ . b) Sketch of the cross section of the sensor considering the rotational symmetry with respect to the  $z$ -axis and the mirror symmetry with respect to the plane perpendicular to  $z$  in the middle of the two ring electrodes ( $z = 0$ ). This geometry is used for simulating the electric field  $\mathbf{E}$  caused by a potential difference between the ring electrodes (1 inch = 25.4 mm).

The series capacitors  $C_{acc,s}$  and  $C_m$  are interpreted as distinct capacitances by virtue of a double layer of electrical charges accumulated at the dielectric discontinuity at the outer access tube boundary  $r = r_{acc,out}$  associated with the unequal polarization within and beyond the access tube. The polarization  $\mathbf{P}$  (and the electrical field  $\mathbf{E}$ ) at  $r = r_{acc,out}$  is continuous if the permittivities  $\epsilon_{acc}$  and  $\epsilon_m$  of the access tube and the

medium are equal, so by definition  $C_{acc,s}$  and  $C_m$  do not exist in this case (*i.e.*, in the limit  $\epsilon_m \rightarrow \epsilon_{acc}$ :  $C_{acc,s} = C_m = 0$  pF). However, the capacitance between the ring-electrodes caused by the volume  $r > r_{acc,in}$  comprising the access tube and the medium, is certainly not zero for  $\epsilon_{acc} = \epsilon_m$ . For this purpose, the capacitor  $C_{acc,m}$  is introduced to account for the parallel capacitance at  $\epsilon_{acc} = \epsilon_m$  caused by the volume confined by  $r > r_{acc,in}$ .

A symbolic justification of the necessity for introducing  $C_{acc,m}$  is sketched in figure 1c where the role of two partial capacitances given by two dielectric regions  $\epsilon_1$ ,  $\epsilon_2$  within a composite plate capacitor is illustrated. The large circles with the plus and minus signs symbolize electrical charges on the conducting electrodes of the capacitor associated with  $\epsilon_1 = \epsilon_2$ , whereas the corresponding small circles are the charges of the double-layer accumulated at the non-conducting dielectric discontinuity. As it is depicted, the capacitance of the composite capacitor is represented by a capacitor with uniform dielectric  $\epsilon_1 = \epsilon_2$  (corresponding to  $C_{acc,m}$ ) in parallel with the series of two capacitors with permittivities  $\epsilon_1$  and  $\epsilon_2$  (corresponding to  $C_{acc,s}$  and  $C_m$ ).

The resonant frequency  $f_r$  of the circuit analogue is derived by applying Complex AC-Circuit Theory [20] to the circuit analogue shown in figure 1b. Complex AC-Circuit Theory states that the impedance  $Z = z' + i z''$  of a circuit composed of passive elements  $R$  [ $\Omega$ ] (resistor),  $L$  [H] (inductance), and  $C$  [F] (capacitance) can be described using Ohm's-law if corresponding frequency-dependent complex impedances  $Z_R$ ,  $Z_L$  and  $Z_C$  are used:

$$Z_R = R, Z_L = i 2\pi f \cdot L, \text{ and } Z_C = \frac{-i}{2\pi f \cdot C} \quad (3)$$

Consequently, the impedance  $Z(f)$  of the circuit depicted in figure 1b can be expressed by the impedances  $Z_{R_m}$ ,  $Z_L$ ,  $Z_{C_{acc,s}}$ ,  $Z_{C_m}$ , and  $Z_{C_p}$  where  $C_p = C_{in} + C_{acc,p} + C_{acc,m}$  is in parallel to the electrical source:

$$Z(f) = \left[ Z_L^{-1} + Z_{C_p}^{-1} + \left( \left( Z_{C_m}^{-1} + Z_{R_m}^{-1} \right)^{-1} + Z_{acc,s} \right)^{-1} \right]^{-1} \quad (4)$$

The circuit is operated at its resonant frequency  $f = f_r$  if the energy uptake is maximal, *i.e.*, if the input impedance  $Z(f = f_r)$  takes a real value. The physically meaningful solution of the corresponding equation  $z''(f = f_r) = 0$  is:

$$f_r = \frac{1}{2\pi\sqrt{2}} \sqrt{\frac{-(C_{acc,s} + C_p)L + (C_{acc,s} + C_m)^2 R_m^2 + \sqrt{D}}{(C_{acc,s} + C_m)(C_m C_p + C_{acc,s}(C_m + C_p))LR_m^2}} \quad (5)$$

with

$$D = (C_{acc,s} + C_p)^2 L^2 + (C_{acc,s} + C_m)^4 R_m^4 - 2(C_{acc,s} + C_m)(C_{acc,s}^2 - C_m C_p - C_{acc,s}(C_m + C_p))LR_m^2$$

The above expression  $f_r(L, C_p, C_{acc,s}, C_m, R_m)$  is used to calculate the resonance of the circuit analogue (figure 1). For the limits of a lossless ( $R_m \rightarrow \infty$ ) and a highly conducting ( $R_m \rightarrow 0$ ) medium the expression (5) reduces to the formula valid for a simple LC-oscillator.

$$f_r = \frac{1}{2\pi\sqrt{LC}} \quad (6)$$

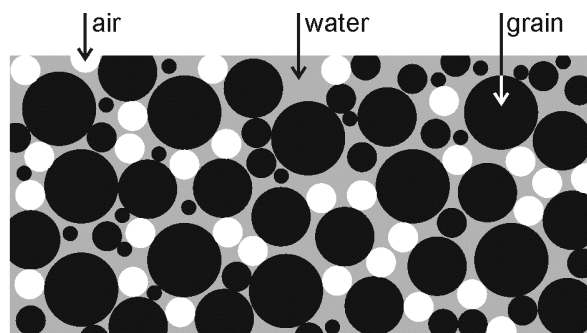
Thereby, the operative capacitance for the lossless case ( $R_m \rightarrow \infty$ ) is  $C = C_p + (C_{acc,s} \cdot C_m) / (C_{acc,s} + C_m)$  representing the total input-capacity of the circuit diagram without the presence of the resistor  $R_m$ . In the limit  $R_m \rightarrow 0$  one finds the larger value  $C = C_p + C_{acc,s}$  representing the corresponding operative capacitance for hot-wired  $C_m$ . These considerations already show that  $f_r$  is expected to increase with  $R_m$  between the two limits for  $R_m \rightarrow 0$  and  $R_m \rightarrow \infty$ .

## 2.2 Electrical Properties of Porous Media

The effective permittivity  $\epsilon_m = \epsilon_m' + i \epsilon_m''$  and the electrical DC-conductivity  $\sigma_m$  of the media surrounding the sensor are described on the basis of a physical dielectric mixing approach. Thereby, the effective permittivity  $\epsilon_m$  is defined using the relation  $\langle \mathbf{D} \rangle = \epsilon_m \langle \mathbf{E} \rangle$  between the flux density  $\mathbf{D}$  and the electrical field  $\mathbf{E}$ , where  $\langle \rangle$  denotes a linear volume average over all dielectric phases. From these basic considerations, a generalized form of the Maxwell-Garnett formula [21, 22] can be derived to compute  $\epsilon_m$  of a multiphase mixture comprising  $K$  different types of spherical inclusions:

$$\epsilon_m = \epsilon_e + 3\epsilon_e \frac{\sum_{j=1}^K v_j \frac{\epsilon_j - \epsilon_e}{\epsilon_j + 2\epsilon_e}}{1 - \sum_{j=1}^K v_j \frac{\epsilon_j - \epsilon_e}{\epsilon_j + 2\epsilon_e}} \quad (7)$$

Thereby, only the volume fraction  $v_j$  of the guest phases with permittivities  $\epsilon_j$  and the permittivity  $\epsilon_e$  of the host appear in the mixing formula. In fact, the assumption that the spheres in the mixture be of the same size can be relaxed as long as all spheres are small compared with the wavelength of the operating electrical field. Because this effective medium approach is derived directly from basic electromagnetism, it can be applied for complex permittivity values  $\epsilon_j$  and  $\epsilon_e$  without any restrictions.



**Figure 4.** Schematic diagram of the structure of the porous medium used for modeling the effective medium permittivity  $\epsilon_m$  representing the moist soil surrounding the sensor access tube. The grains with permittivity  $\epsilon_g$  and the air-bubbles with permittivity  $\epsilon_a$  are approximated by spherical inclusions in water with permittivity  $\epsilon_w$ .



We applied the mixing rule (7) to the simplified model structure depicted in figure 4 for modeling the permittivity  $\epsilon_m = \epsilon_m' + i \epsilon_m''$  of the porous medium representing the bulk soil with porosity  $\eta$  and volumetric water content  $\theta$ . This dielectric mixture accounts for spherical grains and air bubbles with permittivities  $\epsilon_g$  and  $\epsilon_a = 1$  embedded in the water phase with permittivity  $\epsilon_w$ . Accordingly, equation (7) has to be evaluated for  $K = 2$  with the corresponding volume fractions  $1 - \eta$  and  $\eta - \theta$  of the grain- and of the air-phase respectively:

$$\epsilon_m = \epsilon_w + 3\epsilon_w \frac{(1-\eta) \frac{\epsilon_g - \epsilon_w}{\epsilon_g + 2\epsilon_w} + (\eta - \theta) \frac{\epsilon_a - \epsilon_w}{\epsilon_a + 2\epsilon_w}}{1 - \left[ (1-\eta) \frac{\epsilon_g - \epsilon_w}{\epsilon_g + 2\epsilon_w} + (\eta - \theta) \frac{\epsilon_a - \epsilon_w}{\epsilon_a + 2\epsilon_w} \right]} \quad (8)$$

The above mixing approach is best for sandy soils at relatively high water contents, where the water phase is the host of nearly spherical air bubbles. Complex air-water geometries at low water contents might be not described properly by (8). Furthermore, for very fine textured soils (clays) with high specific surface areas (up to  $\approx 200 \text{ m}^2\text{g}^{-1}$ ) the above mixing model is improper due to relaxation phenomena like Maxwell-Wagner or due to the increasing fractional amount of bound water becoming a further dielectric phase to be considered [23].

The constant value  $\epsilon_g = 5.5 + i 0.2$  is reasonable for the permittivity of silicon dioxide ( $\text{SiO}_2$ , quartz) [24] which is most abundant in natural sands. The complex permittivity of water  $\epsilon_w = \epsilon_w' + i \epsilon_w''$  is computed from a fit of measurements performed at frequency  $f$ , water temperature  $T$  and salinity  $S$ . The multi-parameter fit for  $\epsilon_w(f, T, S)$  described by Meissner and Wentz [25] consists of a double Debye relaxation law for expressing the explicit frequency dependence of  $\epsilon_w$ . The  $T$  and  $S$  dependencies are formulated implicitly by making the involved Debye parameters (static-, intermediate-, and high-frequency dielectric permittivity and two relaxation frequencies) dependent on  $T$  and  $S$ . The electrical DC-conductivity  $\sigma_w(T, S)$  of the saline water, used in the conductivity-term of the fitting approach, is calculated using a fit to measurements, where salinity is expressed in parts per thousand (ppt) by weight, which at low concentrations is approximately equal to grams (g) of salt per liter (L) of water. We followed the empirical derivations of Meissner and Wentz [25], who assumed the salinity of sea water was dominated by monovalent cations, which affects the conversion from mass concentration in ppt to electrical conductivity of the solutions.

The validity of the semi-empirical model for  $\epsilon_w(f, T, S)$  holds for the following ranges:  $f \leq 90 \text{ GHz}$ ,  $-2^\circ\text{C} \leq T \leq +29^\circ\text{C}$ ,  $S \leq 40 \text{ ppt}$ . However, for pure water ( $S = 0$ ) the fit is based on measurements taken within the broader temperature range  $-21^\circ\text{C} \leq T \leq +40^\circ\text{C}$ . As a consequence, the upper temperature limit of the applicability of the fit  $\epsilon_w(f, T, S)$  is expected to be broader for low salinities  $S \leq 10 \text{ ppt}$  used in our evaluations.

The DC-conductivity  $\sigma_m$  of a medium can be deduced from  $\epsilon_m$  by expressing the spectral properties of  $\epsilon_m$  as the sum of a conductivity term comprising  $\sigma_m$ , the constant  $\epsilon_{m\infty}$  representing the high-frequency limit, and a Debye relaxation term. The Debye term considers the rotational relaxation frequency  $f_{\text{rel}} \gg 1 \text{ GHz}$  (figure 5) and low- and high-frequency limits,  $\epsilon_{m\text{DC}}$  and  $\epsilon_{m\infty}$ , respectively. The conductivity

term describes the steadily increasing (hyperbolic) losses for frequencies  $f < 1$  GHz contributing exclusively to  $\epsilon_m''$ . The frequency spectrum of  $\epsilon_m(f)$  is thus ( $\epsilon_0 = 8.85 \cdot 10^{-12}$  F m<sup>-1</sup>):

$$\epsilon_m(f) = \frac{\epsilon_{mDC} - \epsilon_{m\infty}}{1 - i f / f_{rel}} + \epsilon_{m\infty} + i \frac{\sigma_m}{\epsilon_0 2\pi f} \quad (9)$$

Here, relaxation losses can be neglected, because the frequencies of the electric field (100 – 160 MHz) excited by the considered capacitance sensor are much smaller than 1 GHz ( $f \ll f_{rel}$ ). Thus, the DC-conductivity  $\sigma_m(\epsilon_m'', f)$  is directly proportional to the imaginary part  $\epsilon_m''(f)$  of the medium permittivity measured at the frequency  $f$ :

$$\sigma_m(\epsilon_m'', f) = \epsilon_0 2\pi f \epsilon_m'' \quad (10)$$

As a matter of clarification, the fact that the above expression involves  $f$  does not mean that the DC-conductivity  $\sigma_m$  is frequency dependent, because  $\epsilon_m''$  is inversely proportional to  $f$ .

### 2.3 Electric Field Simulations

Schwank et al. [18] introduced the use of a numerical simulator to compute the electric field distributions within and around an axisymmetric capacitance sensor. Electrical fields  $\mathbf{E}$  were simulated using the free version of the commercial finite element software Maxwell®2D, which can be downloaded from <http://www.ansoft.com/maxwellsv/>.

The model geometry implemented in the numerical simulation for computing  $\mathbf{E}$  in the vicinity of the two ring electrodes is depicted in figure 3b. The sketch utilizes the rotational symmetry around the  $z$ -axis of the sensor and the mirror symmetry with respect to the plane perpendicular to  $z$  in the middle of the two ring electrodes. With respect to these symmetry properties, the fields were calculated in cylindrical coordinates yielding  $\mathbf{E}(r, z) = (E_r, E_z)$  in radial and tangential components. The simulations were performed within the volume confined by  $0 \leq r \leq r_{max}$  and  $-z_{max} \leq z \leq z_{max}$  with  $r_{max} = 8$  inches (203 mm) and  $z_{max} = 4$  inches (102 mm) for the potential difference  $U_{\pm} = 1$  V applied between the ring electrodes of the sensor. Furthermore, the permittivities of the access tube and of the electrode holder were set to  $\epsilon_{acc} = \epsilon_h = 3.35$  in accordance with measured values for  $f \leq 1$  GHz [18]. Quasi-steady field solutions  $\mathbf{E}(r, z)$  were computed for a set of real media permittivities  $\epsilon_m'$  in the range  $3.35 = \epsilon_{acc} \leq \epsilon_m' \leq 80$ . It has been proven numerically that an imaginary part  $\epsilon_m'' \neq 0$  of  $\epsilon_m$  does not alter the values of  $\mathbf{E}(r, z)$  and the quasi-steady field approximation is justified as the relevant wavelengths of the electrical field are clearly larger than the dimensions of the volume considered in the simulations.

The recursion proceeded until reaching the targeted change of 0.01 % of the total field energies of subsequent iterations. Meeting this stopping criterion typically required  $10^5$  grid points in the adaptive finite-element mesh. The modeled fields  $\mathbf{E}(r, z)$  were then used to calculate the circuit diagram elements  $C_{in}(\epsilon_m')$ ,  $C_{acc,p}(\epsilon_m')$ ,  $C_{acc,m}$ ,  $C_{acc,s}(\epsilon_m')$ ,  $C_m(\epsilon_m')$  and  $R_m(\epsilon_m', \epsilon_m'')$  for the permittivity range  $3.35 = \epsilon_{acc} \leq \epsilon_m' \leq 80$ .

## 2.4 Components of the Circuit Diagram

### 2.4.1 Capacitances

The total capacitance  $C_{\text{tot}}$  was first decomposed into two basic parallel capacitance components associated with the volumes  $V_{\text{in}}$  and  $V_{\text{out}}$  confined by  $r \leq r_{\text{acc,in}}$  and  $r > r_{\text{acc,in}}$  (compare figure 1 and 3):

$$C_{\text{tot}} = C_{\text{in}} + C_{\text{out}} \quad (11)$$

Based on the circuit analogue, the capacitance  $C_{\text{out}}$  caused by the axisymmetric volume  $V_{\text{out}}$  is:

$$C_{\text{out}} = C_{\text{acc,p}} + C_{\text{acc,m}} + \frac{C_{\text{acc,s}} C_{\text{m}}}{C_{\text{acc,s}} + C_{\text{m}}} \quad (12)$$

As will be explained below the capacitances  $C_{\text{acc,m}}$ ,  $C_{\text{acc,s}}$ ,  $C_{\text{m}}$ ,  $C_{\text{acc,p}}$ , and  $C_{\text{out}}$  were computed from the field  $\mathbf{E}(r, z)$  simulated for  $\epsilon_{\text{m}}'$ . These capacitance values ultimately were used for computing  $f_r(L, C_p, C_{\text{acc,s}}, C_{\text{m}}, R_{\text{m}})$  from (5). Also, the capacitance  $C_p$  used in (5) is the parallel connection of three capacitors (figure 1a):

$$C_p = C_{\text{in}} + C_{\text{acc,p}} + C_{\text{acc,m}} \quad (13)$$

Because the field caused by the asymmetric wiring and the electronic board cannot be simulated with the two-dimensional finite element software,  $C_{\text{in}}$  was derived from the difference between the measured total capacitance  $C_{\text{tot}}$  and the simulated capacitance  $C_{\text{out}}$ . Simulated and measured values of  $C_{\text{tot}}(\epsilon_{\text{m}}')$  deviate significantly from each other as shown in Schwank et al. [18]. Internal asymmetrical material (electronic board, wiring) plus on-board capacitance of the electronics were identified as the main reason for the inaccuracy of the simulations. Thus,  $C_{\text{tot}}(\epsilon_{\text{m}}')$  used in (11) is derived from the experimental data (Schwank et al. [18], figure 8) measured in water-dioxane mixtures ( $2.4 \leq \epsilon_{\text{m}}' \leq 78.4$ ) and corrected for the effect of the Fluorinated Ethylene-Propylene (FEP) coating (figure 11a in Schwank et al. [18]).

The capacitance  $C_{\text{out}}(\epsilon_{\text{m}}')$  due to the volume outside the access tube is calculated from the field energy  $\phi_{\text{out}}$  within the volume  $V_{\text{out}}$  given by  $r > r_{\text{acc,in}}$ . Thereby,  $\phi_{\text{out}}$  equals the volume integral of the scalar product of the  $\mathbf{E}$ -field and the flux-density  $\mathbf{D}$ :

$$\phi_{\text{out}} = \frac{1}{2} \int_{V_{\text{out}}} \mathbf{E} \cdot \mathbf{D} dV \quad (14)$$

Furthermore,  $\phi_{\text{out}}$  is related to the electrode potential difference  $U_{\pm}$  and the capacitance  $C_{\text{out}}$ :

$$\phi_{\text{out}} = \frac{C_{\text{out}} U_{\pm}^2}{2} \quad (15)$$

Combining (14) with (15) allows for calculating  $C_{\text{out}}(\epsilon_{\text{m}}')$  from the field  $\mathbf{E}(r, z) = (E_r, E_z)$  simulated for  $\epsilon_{\text{m}}'$ . The special case when  $\epsilon_{\text{m}}' = \epsilon_{\text{acc}} = 3.35$  yields the value  $C_{\text{acc,m}} = C_{\text{out}}(\epsilon_{\text{m}}' = \epsilon_{\text{acc}}) = 3.63$  pF.

$C_{\text{m}}(\epsilon_{\text{m}}')$  and  $C_{\text{acc,s}}(\epsilon_{\text{m}}')$  can not be calculated using (14) and (15), because these capacitances are not defined between two conducting electrodes but between an electrode and the dielectric discontinuity at the outer boundary of the access tube. However,  $C_{\text{m}}$  and  $C_{\text{acc,s}}$  can still be computed from  $\mathbf{E}(r, z) = (E_r, E_z)$  if

understood to be the parallel connection of infinitesimal capacitances  $dC_m(z)$  and  $dC_{acc,s}(z)$  given by appropriate pairs of surface elements at the outer access tube boundary with radius  $r_{acc,out}$ . Thus, for ring surfaces with radius  $r_{acc,out}$  located at  $\pm z$  relative to the mirror-symmetry of the sensor, we get:

$$C_m = \int_{z=0}^{\infty} dC_m(z) \text{ and } C_{acc,s} = \int_{z=0}^{\infty} dC_{acc,s}(z) \quad (16)$$

The infinitesimal capacitances  $dC_m(z)$  and  $dC_{acc,s}(z)$  are given by the infinitesimal charge  $dq(z)$  accumulated at the ring-shaped surface at  $z$ , the voltage  $u_m(z)$  between the two mirrored surface elements at  $\pm z$ , and the voltage  $u_{acc,s}(z)$  between the positive ring-electrode and the outer access tube surface at height  $z$ :

$$dC_m(z) = \frac{dq(z)}{u_m(z)} \text{ and } dC_{acc,s}(z) = \frac{1}{2} \frac{dq(z)}{u_{acc,s}(z)} \quad (17)$$

The factor 1/2 is due to the fact that  $dC_{acc,s}$  is the series of two identical capacitances joined at the symmetry plane.

According to Kirchhoff's voltage law,  $u_m(z)$  and  $u_{acc,s}(z)$  can be expressed by the electrode potential difference  $U_{\pm}$  and the voltage  $U_{+P_2}(z)$  between the positive electrode and the outer access tube surface element at  $P_2(z) = (r_{acc,out}, z)$  (figure 3b):

$$u_m(z) = U_{\pm} - 2U_{+P_2}(z) \text{ and } u_{acc,s}(z) = U_{+P_2}(z) \quad (18)$$

$U_{+P_2}(z)$  was calculated as the line integral of  $\mathbf{E}(r, z) = (E_r, E_z)$  from a point  $P_1 = (r_{acc,in}, z_+)$  at  $z_+$  within the surface of the positive electrode to the point  $P_2(z) = (r_{acc,out}, z)$ . As verified numerically, this integration is path independent because  $\nabla \times \mathbf{E} = 0$  in the charge-free space within the access tube. Integrating along a convenient path (figure 3b) connecting  $P_1$  with  $P_2(z)$  yields:

$$U_{+P_2}(z) = \int_{P_1}^{P_2(z)} \mathbf{E} \cdot d\mathbf{s} = \int_{r_{acc,in}}^{r_{acc,out}} E_r(r, z_+) dr + \int_{z_+}^z E_z(r_{acc,out}, z') dz' \quad (19)$$

For calculating the surface charge density at a dielectric discontinuity, it is appropriate to separate the total volume charge density  $\rho$  into parts  $\rho_u$  and  $\rho_p$  produced by unpaired (u) and paired (p) charges accumulated via charges that can move far away from their partners of opposite sign and by charges accumulated via displacements of paired charges [26]. A polarization  $\mathbf{P}$  of a dielectric medium causes a paired charge volume density  $\rho_p = -\nabla \cdot \mathbf{P}$ , and the corresponding  $\rho_u$  of the free charges is given by the flux density  $\mathbf{D} = \epsilon_0 \mathbf{E} + \mathbf{P}$  via Gauss's law for electricity  $\rho_u = \nabla \cdot \mathbf{D}$ . Combining these basic relations yields:

$$\epsilon_0 \nabla \cdot \mathbf{E} = \rho_u + \rho_p \quad (20)$$

Integrating (20) over an infinitesimal volume enclosing a section of a dielectric discontinuity and using the divergence theorem yields the surface charge densities  $\gamma_u$  and  $\gamma_p$  expressed by the normal components of the fields  $\mathbf{E}_1$  and  $\mathbf{E}_2$  at both sides of the interface ( $\hat{\mathbf{n}}$  = unit-vector normal to the interface):

$$\hat{\mathbf{n}} \epsilon_0 (\mathbf{E}_1 - \mathbf{E}_2) = \gamma_u + \gamma_p \quad (21)$$

Because  $\mathbf{D}$  is continuous across a dielectric discontinuity, the density of unpaired charges is  $\gamma_0 = 0$ , whereas the polarization charge density  $\gamma_p$  occurs at a dielectric discontinuity. Therefore,  $\gamma_p$  at the interface separating the access tube from the environmental medium with permittivities  $\epsilon_m \neq \epsilon_{acc}$  can be calculated from  $\mathbf{E}(r, z)$ . Following the relation (21) and considering the symmetry properties of the sensor and of  $\mathbf{E}(r, z) = (E_r, E_z)$  with respect to the  $z$ -axis,  $\gamma_p$  was determined by the difference between  $E_r(r, z)$  at the radii  $r = r_{acc,out} - \delta$  and  $r = r_{acc,out} + \delta$  where  $\delta$  is an infinitesimal radius increment.

The resulting infinitesimal charge  $dq(z) = \gamma_p \cdot dA$  used in (17) on a ring-shaped element with area  $dA = 2\pi \cdot r_{acc,out} dz$  at  $P_2(z) = (r_{acc,out}, z)$  (see figure 3b) on the outer boundary of the access tube is therefore:

$$dq(z) = \epsilon_0 \left[ E_r(r_{acc,out} - \delta, z) - E_r(r_{acc,out} + \delta, z) \right] \cdot 2\pi r_{acc,out} dz \quad (22)$$

Equations (16) - (22) comprise our method for numerical integration of  $\mathbf{E}(r, z)$  to compute  $C_m(\epsilon_m')$  and  $C_{acc,s}(\epsilon_m')$  for  $\epsilon_m' \neq \epsilon_{acc}$  (see methods above for  $\epsilon_m' = \epsilon_{acc}$ ).

The parallel part  $C_{acc,p}(\epsilon_m')$  of the access tube capacitance is calculated from the charge  $Q_{acc,z=0}$  that would occur on a virtual conductor at the symmetry plane  $z = 0$  of the sensor and the corresponding potential difference  $U_{acc,z=0}$  to the positive ring electrode:

$$C_{acc,p} = \frac{1}{2} \frac{Q_{acc,z=0}}{U_{acc,z=0}} \quad (23)$$

Because this virtual conductor coincides with the equipotential at  $U_{acc,z=0} = U_{\pm} / 2$ , it does not affect the field  $\mathbf{E}(r, z) = (E_r, E_z)$ . The factor 1/2 in (23) accounts for the fact that  $C_{acc,p}$  is the series of two identical capacitors comprised of the positive ring electrode and the virtual electrode at  $z = 0$ . The radial component is  $E_r = 0$  at  $z = 0$ , so  $Q_{acc,z=0}$  can be deduced from  $E_z(r, z = 0)$  by integrating the virtual charge density  $\epsilon_0 \cdot E_z(r, z = 0)$  on the virtual conductor over the radial extension  $r_{acc,in} \leq r \leq r_{acc,out}$  of the access tube:

$$Q_{acc,z=0} = \epsilon_0 2\pi \int_{r_{acc,in}}^{r_{acc,out}} E_z(r, z = 0) r \cdot dr \quad (24)$$

## 2.4.2 Resistor

Values of the resistance  $R_m(\epsilon_m', \epsilon_m'')$  of a porous medium outside the access tube (soil) with conductivities  $\sigma_m(\epsilon_m'')$  were derived from  $\mathbf{E}(r, z) = (E_r, E_z)$  computed for  $\epsilon_m'$  in the corresponding volume  $V_{out}$  ( $r > r_{acc,out}$ ). For this purpose a relationship between  $C_m$  and  $R_m$  is deduced below.

In accordance with (3) the absolute values of local infinitesimal ohmic and capacitive impedances  $dZ_R$  and  $dZ_C$  can be expressed by corresponding local infinitesimal resistor  $dR$  and capacitance  $dC$ . For the simplest possible electrode arrangement consisting of infinitesimal parallel electrodes with area  $dA$  separated by the distance  $dD$  one finds:

$$|dZ_R| = \frac{dD}{dA \cdot \sigma_m} \quad \text{and} \quad |dZ_C| = \frac{dD}{2\pi f \epsilon_0 \epsilon_m' dA} \quad (25)$$

The corresponding absolute values of the ohmic and the capacitive impedances  $|Z_{Rm}|$  and  $|Z_{Cm}|$  of the medium outside the sensor are:

$$|Z_{R_m}| = R_m \text{ and } |Z_{C_m}| = \frac{1}{2\pi f C_m} \quad (26)$$

In general, the ohmic and the capacitive impedances associated with an arbitrary arrangement of dielectric and conductive components are determined by the arising configuration of the field  $\mathbf{E}$ . Consequently, the ratio  $|dZ_R| / |dZ_C|$  between the local impedances is the same as the ratio  $|Z_{R_m}| / |Z_{C_m}|$  between the ohmic and capacitive impedances caused by the volume  $V_{out}$ . Thus  $R_m$  can be expressed as a function of  $C_m$  and  $\sigma_m$  using the relations (25) and (26):

$$R_m(\epsilon_m', \epsilon_m'') = \frac{\epsilon_0 \epsilon_m'}{C_m(\epsilon_m') \cdot \sigma_m(\epsilon_m'')} \quad (27)$$

Furthermore, the geometric factor  $g_m(\epsilon_m')$  of the volume filled with the medium outside the access tube can be calculated from  $C_m(\epsilon_m')$ :

$$g_m(\epsilon_m') = \frac{C_m(\epsilon_m')}{\epsilon_0 \epsilon_m'} \quad (28)$$

Thus,  $R_m$  is inversely related to both  $\sigma_m(\epsilon_m'')$  and  $g_m(\epsilon_m')$ .

### 2.5 Iterative Solution Method for the Sensor Resonant Frequency

Because the water permittivity  $\epsilon_w(f)$  used in the effective medium approach depends on frequency  $f$ , the composite medium is dispersive with  $\epsilon_m(f)$  calculated from (8). This implies that the values of the electrical components used for calculating  $f_r(L, C_p, C_{acc,s}, C_m, R_m)$  are not known *a priori*, because they also depend on  $f$ . For this reason the iterative approach sketched in figure 2 involving the models presented above has to be used to compute  $f_r$  for a dispersive medium.

The capacitances  $C_{acc,s}(\epsilon_m'(f^j))$ ,  $C_m(\epsilon_m'(f^j))$  and  $C_p(\epsilon_m'(f^j)) = C_{in}(\epsilon_m'(f^j)) + C_{acc,p}(\epsilon_m'(f^j)) + C_{acc,m}$  used in (5) in the  $j$ -th iteration were interpolated from the corresponding capacitances derived from simulated  $\mathbf{E}$ , and the resistance  $R_m(\epsilon_m'(f^j), \epsilon_m''(f^j))$  was calculated from (10) and (27). The first approximation  $f^1$  is calculated with the circuit diagram elements associated with  $\epsilon_m(f^0)$  corresponding to  $f^0 = \langle f_r \rangle = 130$  MHz. Higher-order approximations  $f^j$  ( $j > 1$ ) were calculated from (5) with capacitances and resistor values computed for the permittivity  $\epsilon_m(f^{j-1})$  at frequency  $f^{j-1}$ . For a broad range of starting frequencies  $f^0$ , this procedure converged within three iterations to an accuracy of  $|f^j - f^{j-1}| \leq 1$  kHz yielding the final resonant frequency  $f_r$  of the sensor encompassed in a dispersive dielectric medium.

## 3. Results and Discussion

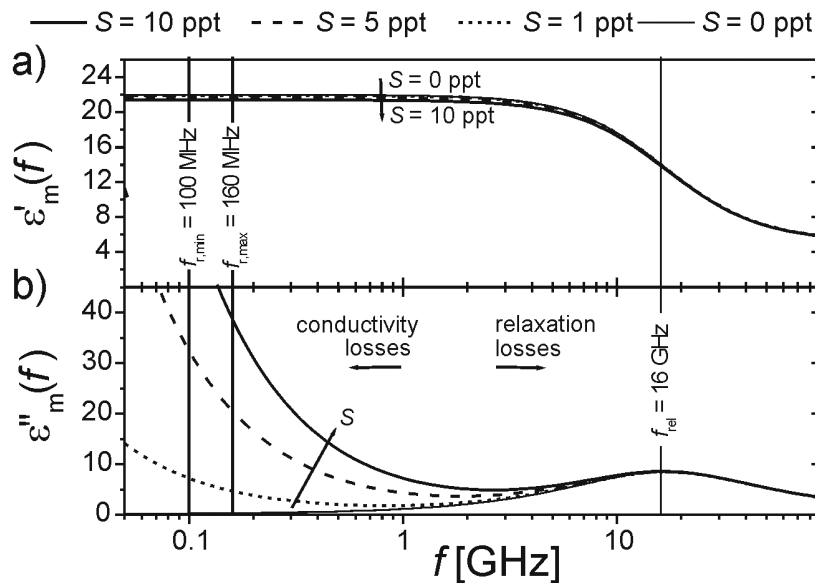
### 3.1 Frequency-Dependent Permittivity of Porous Media

Figure 5 shows  $\epsilon_m(f) = \epsilon_m'(f) + i \epsilon_m''(f)$  computed from the dielectric mixing formula (8). The permittivities  $\epsilon_g = 5.5 + i 0.2$  and  $\epsilon_a = 1$  of the spherical grains and air-bubbles are assumed to be non-dispersive, so the frequency dependence of  $\epsilon_m(f)$  is solely due to the dispersive behavior of the water

permittivity  $\epsilon_w(f) = \epsilon_w'(f) + i \epsilon_w''(f)$  modeled with the approach proposed by Meissner and Wentz [25]. The frequency spectra of the real and the imaginary parts  $\epsilon_m'(f)$  and  $\epsilon_m''(f)$  were computed for four salinity values  $S = 0, 1, 5, 10$  ppt of the water phase with volumetric content  $\theta = 0.30 \text{ m}^3 \text{ m}^{-3}$ . The porosity was  $\eta = 0.4$  and the reference temperature  $T = T_{\text{ref}} = 20^\circ\text{C}$  was chosen.

The real part  $\epsilon_m'(f)$  decreases slightly with increasing  $S$  (figure 5a). Furthermore, the slight slope  $d\epsilon_m' / df \approx -5 \cdot 10^{-5} \text{ MHz}^{-1}$  within the frequency range  $100 \text{ MHz} \leq f \leq 1 \text{ GHz}$  is almost the same for  $0 \text{ ppt} \leq S \leq 10 \text{ ppt}$ . The frequency spectra of  $\epsilon_m''(f)$  (figure 5b) reveal the relevance of the conductivity losses for  $f < 1 \text{ GHz}$  and of the relaxation losses for higher frequencies. Salinity increases the conductivity contribution to  $\epsilon_m''(f)$  but does not affect the contribution due to relaxation. The maximum impact of the relaxation term occurs at  $f_{\text{rel}} \approx 16 \text{ GHz}$  corresponding to the relaxation frequency of non-saline pure water at  $T = T_{\text{ref}} = 20^\circ\text{C}$ . The imaginary part  $\epsilon_m''(f)$  for  $S = 0$  (thin solid line) includes almost no conductivity contribution. For  $f = f_{\text{rel}}$  the gradient  $d\epsilon_m''(f) / df$  is most distinct as a consequence of the Kramers-Krönig relations [27] relating  $\epsilon_m'(f)$  to  $\epsilon_m''(f)$ .

The resonance frequency band  $f_{r,\text{min}} \leq f \leq f_{r,\text{max}}$  of the sensor with the boundaries  $f_{r,\text{min}} = 100 \text{ MHz}$  and  $f_{r,\text{max}} = 160 \text{ MHz}$  corresponds with the excited frequencies of the **E**-field. Therefore, the above spectral analysis of  $\epsilon_m(f)$  confirms the assumption made in (10) that  $\epsilon_m''$  is determined solely by  $\sigma_m$ .



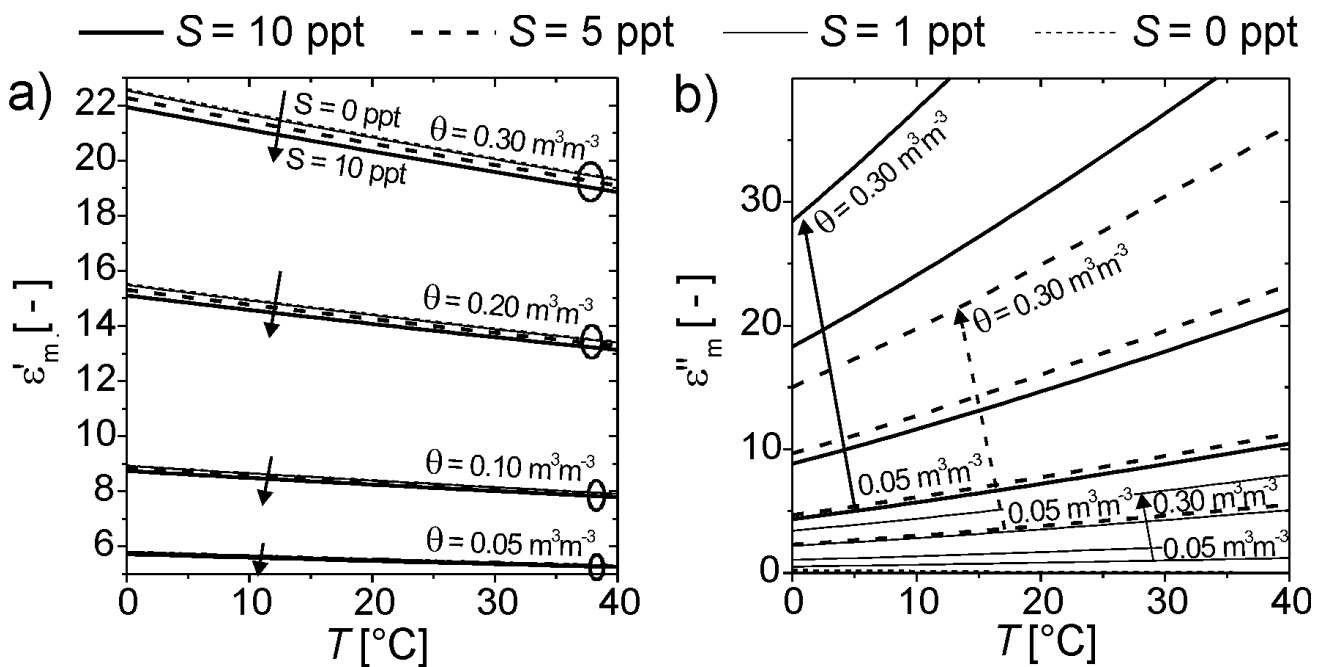
**Figure 5.** Frequency spectrum of  $\epsilon_m(f) = \epsilon_m'(f) + i \epsilon_m''(f)$  for a set of liquid salinities  $S = 0, 1, 5, 10$  ppt calculated for:  $\eta = 0.4$ ,  $\epsilon_g = 5.5 + i 0.2$ ,  $T = T_{\text{ref}} = 20^\circ\text{C}$ ,  $\theta = 0.3 \text{ m}^3 \text{ m}^{-3}$ . The frequencies  $f_{r,\text{min}}$  and  $f_{r,\text{max}}$  are the boundaries of the resonance frequency band of the sensor.

Furthermore, the necessity of using an iterative approach to derive  $f_r$  becomes obvious by inspecting the variability of  $\epsilon_m''(f)$ . Within the range  $f_{r,\text{min}} \leq f \leq f_{r,\text{max}}$ , the maximum variation is rather pronounced:  $\epsilon_m''(f_{r,\text{min}}) - \epsilon_m''(f_{r,\text{max}}) \approx 23$  for  $S = 10$  ppt. Therefore, the value of  $\sigma_m$  calculated from (10) can not be determined if the frequency of the **E**-field is unknown. By contrast, the maximum difference of the real part is  $\epsilon_m'(f_{r,\text{min}}) - \epsilon_m'(f_{r,\text{max}}) < 10^{-3}$  for  $S = 0$  ppt, implying that the frequency dependence of  $\epsilon_m'$  does not require  $f_r$  to be determined iteratively.

### 3.2 Temperature Dependencies of Permittivity and Electrical Conductivity

Figure 6 shows temperature dependencies of  $\epsilon_m'$  and  $\epsilon_m''$  computed from (8) for temperatures  $0^\circ\text{C} \leq T \leq 40^\circ\text{C}$ . For this illustration, the frequency of the  $\mathbf{E}$ -field was set to  $f = \langle f_r \rangle = 130$  MHz corresponding to an intermediate sensor resonance. The four different line types are results for liquid salinities  $S = 0, 1, 5, 10$  ppt and each of the four bundles of lines in figure 6a (indicated by the ellipses) contains  $\epsilon_m'(T)$  computed for the water contents  $\theta = 0.05, 0.10, 0.20, 0.30 \text{ m}^3\text{m}^{-3}$ . Bound water was ignored here, which may have affected the results at low values of  $\theta$ .

The real part  $\epsilon_m'(T)$  decreases whereas the imaginary part  $\epsilon_m''(T)$  increases with increasing  $T$ . As expected, increasing  $\theta$  increases both  $\epsilon_m'$  and  $\epsilon_m''$ , and increasing  $S$  generally reduces  $\epsilon_m'$  but increases  $\epsilon_m''$  (compare lines for equal  $\theta$  in figure 6b). The temperature gradients  $d\epsilon_m'/dT$  are negative and essentially unaffected by  $S$  but more distinct for higher  $\theta$  taking values of  $d\epsilon_m'/dT \approx -0.01 \text{ K}^{-1}$  for  $\theta = 0.05 \text{ m}^3\text{m}^{-3}$  to  $d\epsilon_m'/dT \approx -0.08 \text{ K}^{-1}$  for  $\theta = 0.30 \text{ m}^3\text{m}^{-3}$ . The temperature gradients  $d\epsilon_m''/dT$  of the imaginary parts are always positive and clearly increase with  $\theta$  and  $S$ . For  $S = 0$  ppt, the values of  $\epsilon_m''$  are close to zero and  $d\epsilon_m''/dT < 0$  (thin dashed lines in figure 6b).

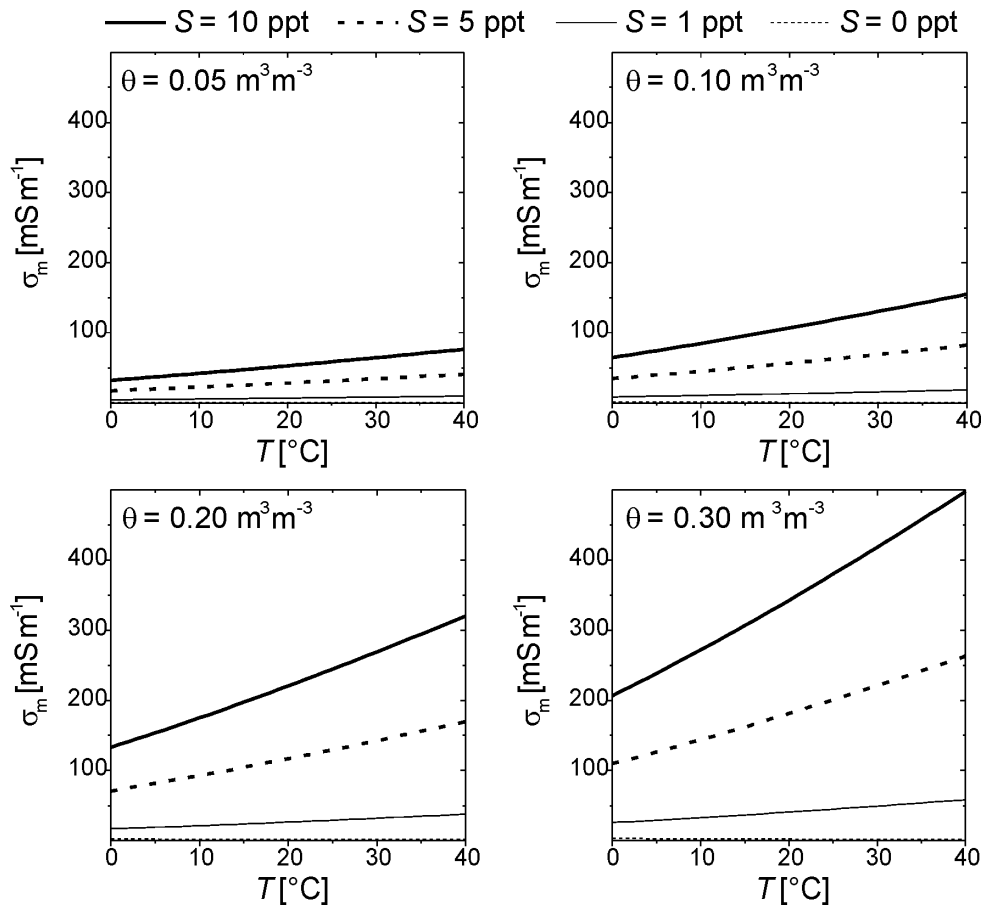


**Figure 6.** a) Computed real parts  $\epsilon_m'(T)$  and b) imaginary parts  $\epsilon_m''(T)$  of the porous two-phase mixture ( $\eta = 0.4$ ) comprising spherical grains ( $\epsilon_g = 5.5 + i 0.2$ ) and air-bubbles ( $\epsilon_a = 1$ ) embedded in water, computed for  $S = 0, 1, 5, 10$  ppt and  $\theta = 0.05, 0.10, 0.20, 0.30 \text{ m}^3\text{m}^{-3}$ .

The same set of  $\theta$  and  $S$  used in the calculations of  $\epsilon_m(T)$  shown in figure 6 were selected for the computation of  $\sigma_m(T, \theta, S)$  shown in figure 7. Again,  $\sigma_m$  increases with  $T$  for all  $\theta$  and all  $S > 0$ . Values of  $\sigma_m \approx 400 \text{ mS m}^{-1}$  were modeled for  $T \approx 30^\circ\text{C}$ ,  $\theta = 0.30 \text{ m}^3\text{m}^{-3}$ , and  $S = 10$  ppt. Thus, the range of  $\sigma_m$  evaluated here is reasonable for the electrical conductivity of field soils. However, bulk electrical



conductivity values of field soils are not necessarily the exclusive result of soil-water salinity. The implied relationship between  $S$  and  $\sigma_m$  is limited to “ideal” granular media, but many soils with clay minerals exhibit enhanced electrical conductivity even for non-saline soil water. Nevertheless, the relationship in (10) for  $\sigma_m(\epsilon_m'')$  remains valid.



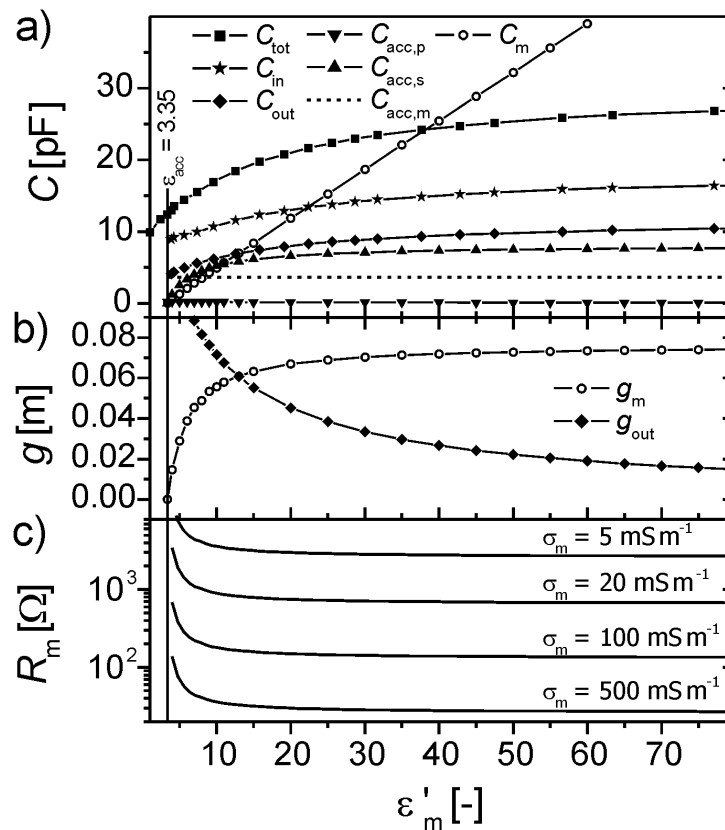
**Figure 7.** Calculated electrical conductivities  $\sigma_m(T)$  of the porous multiphase medium assumed to be representative for the wet soil. The same set of values for  $\theta$  and  $S$  are chosen as for the results shown in figure 6.

### 3.3 Numerical Simulations of Electrical Fields

Figure 8a shows values of circuit diagram capacitances for media permittivities  $\epsilon_{\text{acc}} = 3.35 \leq \epsilon_m' \leq 80$ .  $C_{\text{tot}}(\epsilon_m')$  (solid squares) are the measured values of total capacitance; and  $C_{\text{in}}(\epsilon_m')$  values (stars) were derived from (11) using  $C_{\text{out}}(\epsilon_m')$  values (diamonds) computed from the field energy  $\phi_{\text{out}}$  within the volume  $V_{\text{out}}$  using the relations (14) and (15). The capacitance of the media  $C_m(\epsilon_m')$  (open circles) and the serial part of the access tube capacitance  $C_{\text{acc},s}(\epsilon_m')$  (up-triangles) caused by the double-layer of charges at the outer access tube boundary were calculated using the relations (16) - (22), and  $C_{\text{acc},m}$  (dashed line) representing the capacitance due to the volume  $V_{\text{out}}$  for  $\epsilon_m' = \epsilon_{\text{acc}} = 3.35$  was computed as

$C_{acc,m} = C_{out}(\epsilon_m' = \epsilon_{acc}) = 3.63$  pF. The parallel part  $C_{acc,p}(\epsilon_m')$  (down-triangles) was computed using (23) and (24).

The values of  $C_{tot}$ ,  $C_{in}$ , and  $C_{out}$  increase nonlinearly with  $\epsilon_m'$  taking values of  $12.5 \text{ pF} \leq C_{tot} \leq 26.9 \text{ pF}$  and  $8.8 \text{ pF} \leq C_{in} \leq 16.5 \text{ pF}$ , and  $4.1 \text{ pF} \leq C_{out} \leq 10.5 \text{ pF}$  for  $3.35 = \epsilon_{acc} \leq \epsilon_m' \leq 80$ . Both  $C_{in}(\epsilon_m')$  and  $C_{out}(\epsilon_m')$  increase at similar rates, even though there is no change in permittivity of the inner volume ( $r \leq r_{acc,in}$ ), which indicates that the electrical field inside the capacitor rings is affected by  $\epsilon_m'$  outside the rings and access tube. The values of  $C_{acc,p}(\epsilon_m') < 0.22$  pF are clearly small compared with the other parallel capacitances of the circuit diagram (figure 1a) and thus of minor importance for the resonance of the sensor. For  $\epsilon_m'$  approaching  $\epsilon_{acc} = 3.35$ , the values of the two polarization-induced capacitances  $C_{acc,s}(\epsilon_m')$  and  $C_m(\epsilon_m')$  approach zero, because no double-layer of charges exists at the outer access tube boundary  $r = r_{acc,out}$ .



**Figure 8.** Capacitances used in the circuit analogue for computing sensor resonance  $f_r$ .  $C_{tot}$  was based on measurements,  $C_{in}$ ,  $C_{out}$ ,  $C_{acc,p}$ ,  $C_{acc,s}$ , and  $C_m$  were calculated from the **E**-field modeled for  $3.35 = \epsilon_{acc} \leq \epsilon_m' \leq 80$ , and  $C_{acc,m} = 3.63$  pF represents the capacitance for  $\epsilon_m' = \epsilon_{acc}$  of the volume  $V_{out}$ . b) Geometric factors  $g_m$  and  $g_{out}$  derived from  $C_m$  and  $C_{out}$ . c) Resistance  $R_m$  of the medium derived from  $C_m$  using (27) for four different conductivities  $\sigma_m = 5, 20, 100, 500 \text{ mS m}^{-1}$ .

Values of the geometric factor of the medium  $g_m(\epsilon_m')$  (open circles) were calculated from (28) using the almost linearly increasing  $C_m(\epsilon_m')$  as shown in figure 8b. Unlike previous assumptions that geometric

factors are constant for a given sensor [14], we found that  $g_m(\epsilon_m')$  varies substantially and nonlinearly over the permittivity range corresponding to soils from dry to wet conditions. Another geometric factor  $g_{out}(\epsilon_m')$  (diamonds) associated with  $C_{out}(\epsilon_m')$  is plotted together with  $g_m(\epsilon_m')$ , because  $g_{out}(\epsilon_m')$  includes the parallel influence of  $C_{acc,m}$ , which together with  $C_{acc,s}(\epsilon_m')$  and  $C_m(\epsilon_m')$  causes  $g_{out}(\epsilon_m')$  to decrease with  $\epsilon_m'$ . Evett et al. [12] noted that the sensed volumes of capacitance sensors may vary with water content. Furthermore, Paltineanu and Starr [17] tested both axial and radial sensitivities of the sensor to the measurement volume. They observed a small difference in radial distance sensed between measurements in soil at different water contents ( $\theta = 0.124 \text{ m}^3\text{m}^{-3}$  and  $0.179 \text{ m}^3\text{m}^{-3}$ ), which concurs with measured and simulated results in dielectric liquids [18, Table 4] showing increasing radial distance with  $\epsilon_m'$ . These studies offer experimental evidence for the fact that the relative distribution of the field energies within the sensor and within the volume proximate to the sensor changes with water content. The dependency of the computed values of  $g_m$  and  $g_{out}$  on  $\epsilon_m'$  corroborates these experimental findings qualitatively, but the quantitative relationship between geometric factors and the measurement volume is unknown.

Figure 8c shows  $R_m(\epsilon_m', \sigma_m)$  evaluated for  $\sigma_m = 5, 20, 100, 500 \text{ mS m}^{-1}$  and  $3.35 \leq \epsilon_m' \leq 80$ . There is a dramatic decrease of  $R_m$  with increasing  $\epsilon_m'$  for  $\epsilon_m' < 10$  (noting the log scale for  $R_m$ ) corresponding to low water contents  $\theta$  and represented by the decreasing  $g_m(\epsilon_m')$  in this range. However, as already mentioned and discussed in the next section, for  $R_m < 10 \Omega$  and for  $R_m > 1000 \Omega$ , the effects of the resistor on  $f_r$  are asymptotic to no resistance and perfect insulation, respectively.

### 3.4 Sensor Outputs

All of the analyses above lead to the ability to predict the joint effects of salinity  $S$  (a surrogate for bulk electrical conductivity here) and media temperature  $T$  on the sensor readings. In this section, we present the predicted resonant frequencies  $f_r$  as functions of the electrical resistance  $R_m$  and conductivity  $\sigma_m$ , then show the predicted temperature sensitivities for a range of water contents  $\theta$  and salinities  $S$ . The normalized sensor reading  $N$  from (1) is the final sensor output used to estimate both  $\epsilon_m'$  and  $\theta$  in field applications.

#### 3.4.1 Sensor Resonant Frequency derived from the Circuit Diagram

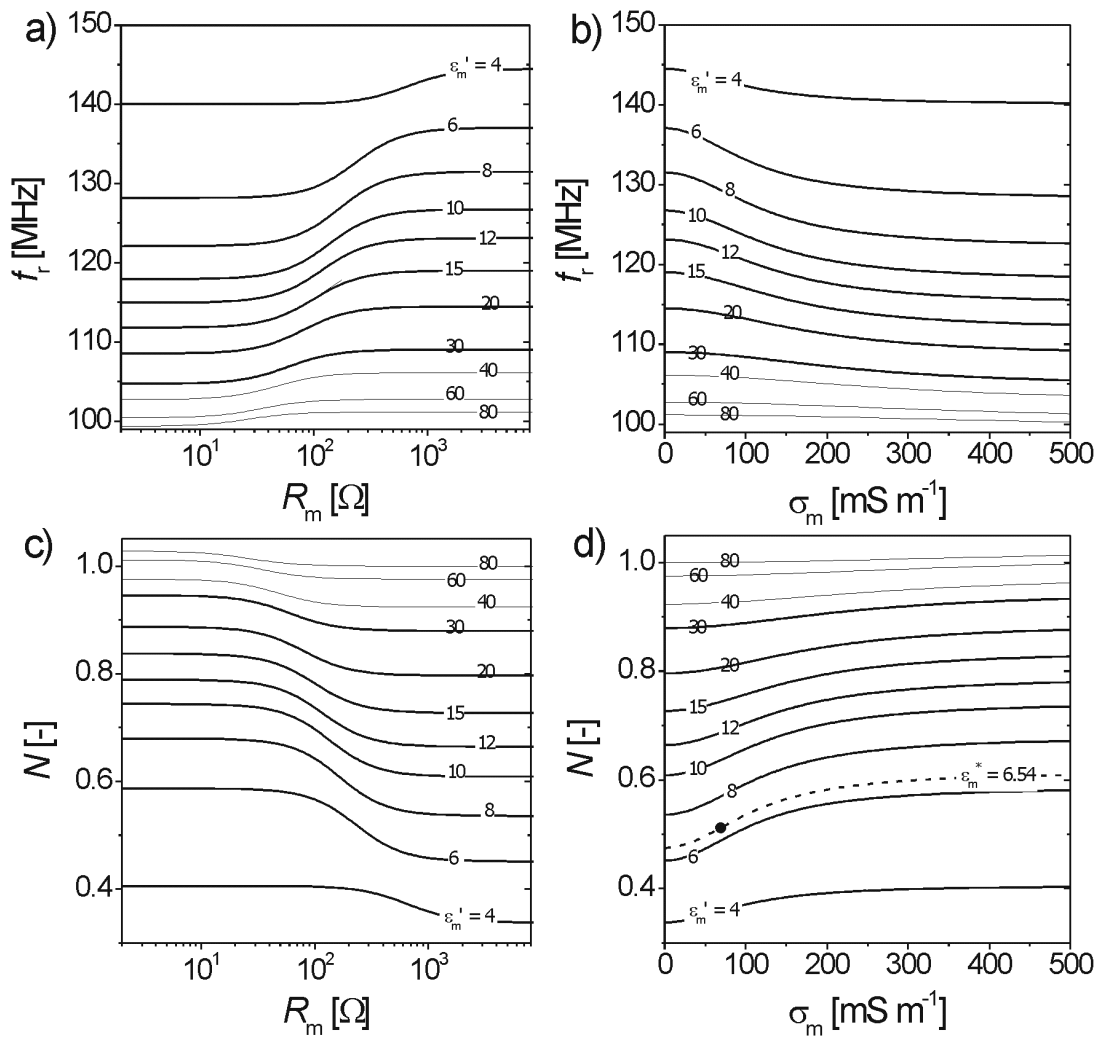
The sensor resonant frequencies  $f_r$  presented below were calculated from (5) representing the resonant frequency of the circuit diagram shown in figure 1. In figure 9a,  $R_m$  is considered as an independent variable in the relationship  $f_r(R_m)$ . The inductance  $L = 91.95 \text{ nH}$  was used as discussed earlier, and the capacitances  $C_{acc,s}(\epsilon_m')$ ,  $C_m(\epsilon_m')$ , and  $C_p(\epsilon_m')$  used in (5) and computed from the **E**-field simulated for  $\epsilon_m'$  are listed in Table 1.

**Table 1.** Values of capacitances  $C_{acc,s}(\epsilon_m')$ ,  $C_m(\epsilon_m')$ , and  $C_p(\epsilon_m') = C_{in}(\epsilon_m') + C_{acc,p}(\epsilon_m') + C_{acc,m} + C_{el}$  used in (5) for computing the resonant frequency  $f_r$  of the circuit diagram assumed to represent the sensor resonance.

$\epsilon_m'$ [-]	$C_{acc,s}$ [pF]	$C_m$ [pF]	$C_p$ [pF]
4	1.22	0.52	12.83
6	3.38	2.06	13.39
6.54	3.75	2.47	13.51
8	4.50	3.46	13.97
10	5.19	4.93	14.62
12	5.66	6.33	15.18
15	6.13	8.39	15.91
20	6.61	11.84	16.77
30	7.09	18.65	18.03
40	7.34	25.43	18.75
60	7.59	38.98	19.74
80	7.71	52.53	20.19

For a realistic range of soil permittivities  $\epsilon_m' < 30$ , the resonant frequencies shown in figure 9a and b (bold lines) are in the range of 104 MHz to 144 MHz and monotonically increasing with decreasing  $\epsilon_m'$ . This behavior is in accordance with the increasing resonant frequency of a simple LC-oscillator with decreasing capacitance (compare (6)). Furthermore, for all  $\epsilon_m'$  the computed  $f_r(R_m)$  shown in figure 9a takes asymptotically lower values for low  $R_m$  and reaches asymptotically higher values for large  $R_m$ . This emanates from the circuit diagram (figure 1), revealing that the total capacitance reaches a minimum for  $R_m \rightarrow \infty$  when  $C_m(\epsilon_m')$  is in series with  $C_{acc,s}(\epsilon_m')$  and a maximum value for  $R_m \rightarrow 0$  when  $C_m(\epsilon_m')$  is bypassed. Thus, the resonances of basic LC-oscillators with different operative capacitances (see equation (6)) qualitatively explains the behavior of  $f_r(R_m)$  for low and high values of  $R_m$ .

Likewise the dependence  $f_r(\sigma_m)$  shown in figure 9b was calculated from (5). Thereby,  $R_m(\epsilon_m', \epsilon_m'')$  was calculated from  $C_m(\epsilon_m')$  given by (27), and  $\sigma_m$  is considered the free parameter. A corresponding asymptotic behavior is observed for  $f_r(\sigma_m)$ . For each  $\epsilon_m'$  the maximum resonance  $f_r(\sigma_m = 0)$  is equal to  $f_r(R_m \rightarrow \infty)$  representing the lossless cases. Likewise, the values of  $f_r(\sigma_m = 500 \text{ mS m}^{-1})$  are almost the same as the corresponding lower-limits  $f_r(R_m \rightarrow 0)$  obtained for a highly lossy medium. The fact that the difference  $f_r(\sigma_m = 0) - f_r(\sigma_m = 500 \text{ mS m}^{-1})$  is very similar to the difference  $f_r(R_m \rightarrow \infty) - f_r(R_m \rightarrow 0)$  for any  $\epsilon_m'$  shows that the range  $0 \leq \sigma_m \leq 500 \text{ mS m}^{-1}$  yields values of  $R_m$  (see also figure 8c) covering the sensitive range of approximately  $10 \Omega < R_m < 1000 \Omega$ . This theoretical sensitivity of  $f_r$  to  $R_m$  coincides with observations of other investigators [3] that  $f_r$  may be sensitive to bulk electrical conductivity in the range  $0 \leq \sigma_m \leq 500 \text{ mS m}^{-1}$ .



**Figure 9.** a) Resonance frequencies  $f_r$  versus resistance  $R_m$  of the medium and b)  $f_r$  versus medium conductivity  $\sigma_m$ . c) Normalized sensor reading  $N$  versus  $R_m$  and d)  $N$  versus  $\sigma_m$ .

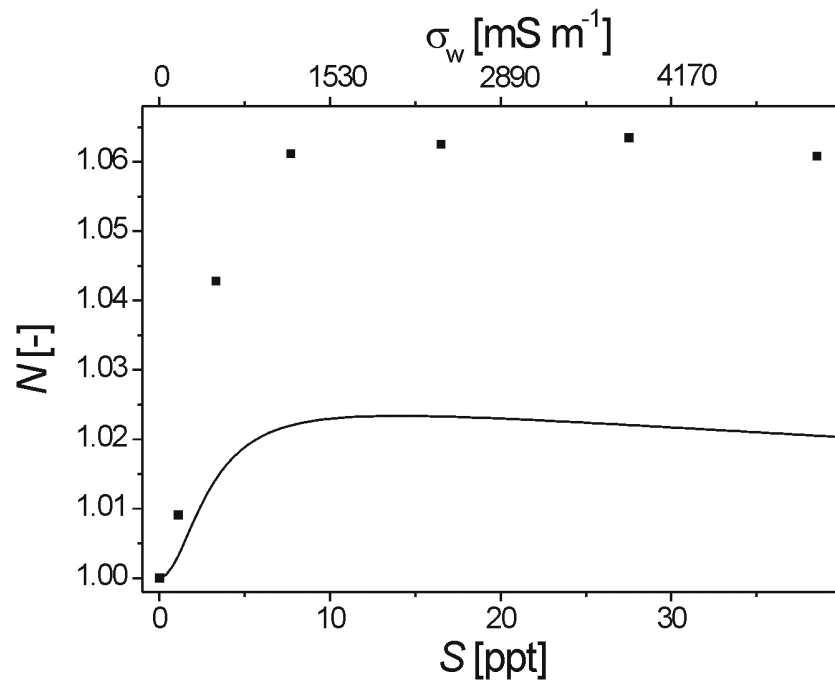
Normalized readings  $N(R_m)$  and  $N(\sigma_m)$  calculated from  $f_r(R_m)$  and  $f_r(\sigma_m)$  using (1) are shown in figure 9c and d, respectively. Thereby the reference resonant frequencies  $f_{r,k}$  ( $k = a, w$ ) are associated with a lossless environmental medium ( $R_m = \infty$ ,  $\sigma_m = 0$ ) and thus simply calculated using equation (6) with  $L = 91.95$  nH, and the operative capacitances  $C = C_{\text{tot},a} = 9.93$  pF and  $C = C_{\text{tot},w} = 26.92$  pF for air and pure water at the reference temperature  $T_{\text{ref}} = 20^\circ\text{C}$ . These values are in agreement with the measured total capacitances presented in Schwank et al. [18] and corrected for the presence of the FEP shrink fit. The resulting reference resonant frequencies are  $f_{r,a} = 166.6$  MHz and  $f_{r,w} = 101.2$  MHz, respectively. By virtue of definition (1),  $dN/dR_m$  and  $dN/d\sigma_m$  vary in the opposite directions of those for the corresponding  $df_r/dR_m$  and  $df_r/d\sigma_m$ .

Based on the simulated sensor responses to changes in electrical conductivity shown in figure 9, we do not expect the predicted response to salinity in water ( $\epsilon'_w = 80$ ) to be very large. A simple experimental check of the model response to salinity is given in figure 10, which shows  $N(S)$  for water at  $T = T_{\text{ref}} = 20^\circ\text{C}$  measured in the laboratory (symbols) and simulated (line). The simulated magnitude of

response underestimated the measured response, but the shape of the curve, particularly the salinity at which  $N$  plateaus, matches the data well. Further experiments in an unsaturated porous medium would be needed to test the model response at lower bulk permittivities, where the sensor response to electrical conductivity is expected to be much greater (figure 9). Such model validation experiments are beyond the scope of the present study.

Permittivities  $\hat{\epsilon}(N)$  derived from sensor outputs  $N$  are the proxy-quantities used to infer soil water contents  $\theta$  in field applications. Soil permittivities  $\hat{\epsilon}$  measured with the capacitance sensor are predicted to deviate from the value of  $\epsilon_m'$  as the result of electrical conductance  $\sigma_m$  occurring in natural moist soils. The sensitivity  $d\hat{\epsilon}/d\sigma_m$  of the measured proxy  $\hat{\epsilon}$  with respect to  $\sigma_m$  is explored below. Thereby,  $d\hat{\epsilon}/d\sigma_m$  is expressed as:

$$\frac{d\hat{\epsilon}}{d\sigma_m}(\epsilon_m', \sigma_m) = \left. \frac{\partial \hat{\epsilon}}{\partial N} \right|_{N(\epsilon_m', \sigma_m)} \cdot \left. \frac{\partial N}{\partial \sigma_m} \right|_{\epsilon_m', \sigma_m} \quad (29)$$



**Figure 10.** Measured (symbols) and simulated (line) values of normalized sensor reading  $N(S)$  for saline water surrounding the sensor. Electrical conductivity  $\sigma_w$  of water with salinity  $S$  is computed for the experimental conditions ( $T = T_{\text{ref}} = 20^\circ\text{C}$ ).

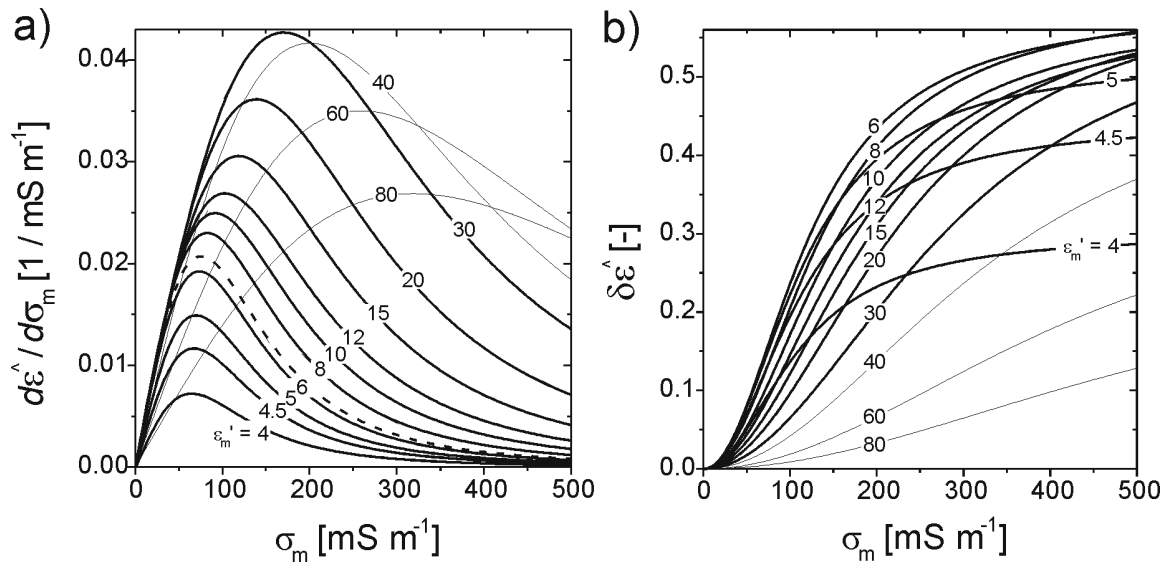
The partial derivative  $\partial \hat{\epsilon}/\partial N$  is calculated from the empirical relationship  $\hat{\epsilon}(N)$  given by (2). The normalized reading  $N(\epsilon_m', \sigma_m)$  and the corresponding derivative  $\partial N/\partial \sigma_m$  evaluated at  $(\epsilon_m', \sigma_m)$  are computed from the modeled data shown in figure 9d.

The values of  $d\hat{\epsilon}/d\sigma_m$  plotted in figure 11a are all positive showing that  $\hat{\epsilon}$  in a conductive medium is always an overestimation of the value  $\epsilon_m'$ , which would be measured for  $\sigma_m = 0$ . The distortion of a measurement within the realistic range  $\epsilon_m' < 30$  (bold lines in figure 11a) is predicted to increase with  $\epsilon_m'$ .

For  $30 < \varepsilon_m' < 80$  (thin lines in figure 11a) the gradients  $d\hat{\varepsilon} / d\sigma_m$  decrease with increasing  $\varepsilon_m'$  because  $\partial N / \partial \sigma_m$  becomes very small for high  $\varepsilon_m'$  (see figure 9d). However, as a consequence of the distinct nonlinearity of  $\hat{\varepsilon}(N)$  given by (2), the maximum values of  $d\hat{\varepsilon} / d\sigma_m$  do not occur at  $\varepsilon_m' = 6.54$  and  $\sigma_m = 69.3 \text{ mS m}^{-1}$  where the derivative  $\partial N / \partial \sigma_m = 0.81 \cdot 10^{-3} \text{ mS m}^{-1}$  is greatest (bold dot on the dashed line in figure 9d).

Simulated relative changes  $\delta\hat{\varepsilon} = \Delta\hat{\varepsilon} / \varepsilon_m'$  of permittivities  $\hat{\varepsilon}$  measured with the capacitance sensor in conductive media are plotted in figure 11b. Wherein, the absolute change  $\Delta\hat{\varepsilon}$  is defined as the difference between the simulated value in a non-conducting medium ( $\sigma_m = 0$ ) and corresponding simulations for  $\sigma_m \geq 0$  at the same water content  $\theta$ . The difference  $\Delta\hat{\varepsilon}(\varepsilon_m', \sigma_m)$  can be expressed using (29) as:

$$\Delta\hat{\varepsilon}(\varepsilon_m', \sigma_m) = \int_0^{\sigma_m} d\hat{\varepsilon} = \int_0^{\sigma_m} \frac{d\hat{\varepsilon}}{d\sigma_m}(\varepsilon_m', \sigma_m) \cdot d\sigma_m \quad (30)$$



**Figure 11.** a) Gradients  $d\hat{\varepsilon} / d\sigma_m$  representing the sensitivities of measured permittivities  $\hat{\varepsilon}(N)$  with respect to electrical medium conductivity  $\sigma_m$ . b) Simulated relative overestimations  $\delta\hat{\varepsilon} = \Delta\hat{\varepsilon} / \varepsilon_m'$  of measurements taken in conductive media.

The calculations reveal rather large positive values of  $\delta\hat{\varepsilon}$  for  $\sigma_m > 50 \text{ mS m}^{-1}$ . This clearly shows that permittivities of electrically conducting media (soils) are expected to be overestimated if measured with a capacitance sensor. Other investigators [28, 29] reported salinity effects on capacitance sensors continuing at high bulk electrical conductivities (i.e.,  $\sigma_m > 500 \text{ mS m}^{-1}$ ), which may not contradict the present model at relatively high soil water contents and associated permittivities (e.g.,  $\varepsilon_m' > 30$ ). However, we do not expect  $\sigma_m$  to affect the sensor readings much at low  $\theta$  and  $\sigma_m > 500 \text{ mS m}^{-1}$ .

In practice the simulated  $\delta\hat{\varepsilon}$  can be used to compensate for the enhancing effect of  $\sigma_m$  on  $\hat{\varepsilon}(N)$  measured in a conductive medium. The resulting real part  $\varepsilon_m'$  of the soil permittivity, which would be

measured for  $\sigma_m = 0$ , is the proxy-quantity used to infer the soil water content  $\theta$ . Thus, one can compute permittivity  $\epsilon_m'$  corrected for electrical conductivity using such a correction function:

$$\epsilon_m'(\hat{\epsilon}, \sigma_m) = \hat{\epsilon} \cdot (1 - \delta\hat{\epsilon}(\hat{\epsilon}, \sigma_m)) \quad (31)$$

In this equation, temperature affects permittivity via its effect on electrical conductivity, but the direct effect of temperature on water permittivity is not included as it is not a sensor effect.

### 3.4.2 Temperature Dependence of Resonant Frequency and Normalized Reading

The temperature dependence of  $f_r(T)$  is caused primarily by the temperature sensitivity of the imaginary part of the medium permittivity  $\epsilon_m''(T)$ . As  $\epsilon_m(f)$  and especially  $\epsilon_m''(f)$  are frequency dependent, the resonance  $f_r$  must be calculated iteratively following the procedure illustrated in figure 2.

Figure 12a shows  $f_r(T)$  calculated for the porosity  $\eta = 0.4$ , grain permittivity  $\epsilon_g = 5.5 + i 0.2$ , and for a set of salinities  $S = 0, 1, 5, 10$  ppt and water contents  $\theta = 0.05, 0.10, 0.20, 0.30 \text{ m}^3 \text{ m}^{-3}$ . The corresponding predicted resonant frequencies are in the range of  $109 \text{ MHz} \leq f_r \leq 136 \text{ MHz}$ . This is approximately the same as the range from  $f_r(R_m \rightarrow \infty)$  to  $f_r(\sigma_m = 0)$  calculated for  $6 \leq \epsilon_m' \leq 30$  (figure 9) representing a reasonable permittivity range for soil-water conditions.

Depending on  $S$  and  $\theta$ , positive or negative temperature responses  $f_r(T)/dT$  were predicted. For low  $S$ , values of  $\epsilon_m''$  are low, leading to values of  $R_m$  which exceed the upper limit of the sensitivity range ( $\approx 1000 \Omega$ ) affecting  $f_r$ . Under these circumstances,  $f_r$  values are determined predominantly by the total capacitance  $C_{\text{tot}}(\epsilon_m')$  which increases with  $\epsilon_m'$  (figure 8a). Therefore, the negative temperature gradient  $d\epsilon_w'/dT \approx -0.36 \text{ K}^{-1}$  [25] leads to the predicted positive frequency responses  $f_r(T)/dT > 0$  for low  $S$ . Observations in real soils [3, 29] displayed  $f_r/dT < 0$  even for nonsaline soil water due to  $\sigma_m > 0$  associated with charged particles. This difference points out a limitation of the simplified model, such that one needs to consider  $\sigma_m$  rather than merely  $S$  in real soils.

As  $S$  increases to 10 ppt,  $df_r(T)/dT$  decreases and becomes negative, particularly for the intermediate water contents  $0.10 \text{ m}^3 \text{ m}^{-3} \leq \theta \leq 0.20 \text{ m}^3 \text{ m}^{-3}$ . This behavior is in accordance with increasing losses leading to  $R_m$  within the sensitive range ( $10 \Omega < R_m < 1000 \Omega$ ) affecting  $f_r$ . Consequently, the gradients  $df_r(T)/dT$  are affected by  $d\sigma_m/dT$  which reaches highly positive values for large  $S$  (see figure 7) leading to  $dR_m/dT < 0$  (not shown here). The negative temperature coefficients  $dR_m/dT < 0$  explain the negative gradients  $df_r(T)/dT$  predicted for high  $S$ , because  $f_r$  decreases with decreasing  $R_m$  (figure 9a). Normalized readings  $N(T)$  shown in figure 12b were computed from  $f_r(T)$  using (1) with  $f_{r,a} = 166.6 \text{ MHz}$  and  $f_{r,w} = 101.2 \text{ MHz}$  respectively. As a result,  $dN/dT$  varies in the opposite directions of those for the corresponding  $df_r/dT$ .

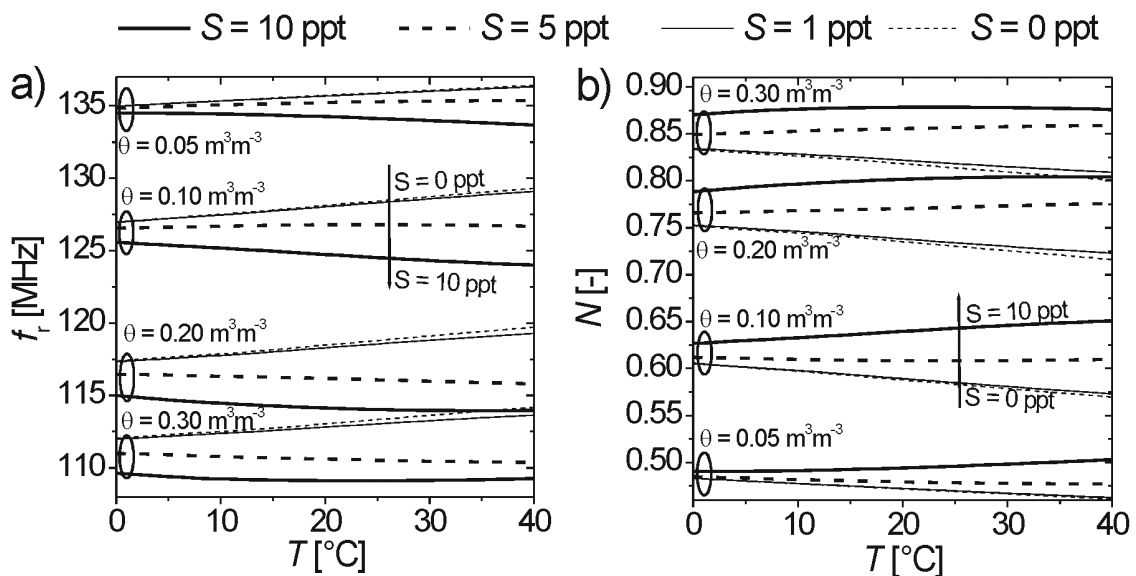
Finally, we estimated  $\hat{\epsilon}(T)$  (the apparent, measured permittivity in a conducting soil medium) from the empirical relationship (2) for comparison with the value  $\epsilon_m'$  of the real part of the medium permittivity computed directly from the mixing model (figure 6). Figure 13 shows temperature dependencies of relative deviations  $\delta\hat{\epsilon} = \Delta\hat{\epsilon}/\epsilon_m'$  simulated for  $\theta = 0.05, 0.10, 0.20, 0.30 \text{ m}^3 \text{ m}^{-3}$ ,  $S = 0, 1, 5, 10$  ppt and for  $\epsilon_g = 5.5 + i 0.2$ ,  $\eta = 0.4$ . In the following,  $\Delta\hat{\epsilon}$  is defined as the difference between simulated values  $\hat{\epsilon}$  expected to be derived from the sensor reading  $N$  at the reference temperature  $T = T_{\text{ref}} = 20^\circ\text{C}$  and the corresponding simulation for  $T \neq T_{\text{ref}}$ . As an extension to (30)  $\Delta\hat{\epsilon}(S, \theta, T)$  is expressed as:



$$\Delta \hat{\epsilon}(S, \theta, T) = \int_{T_{\text{ref}}}^T d\hat{\epsilon} = \int_{T_{\text{ref}}}^T \frac{d\hat{\epsilon}}{dT}(S, \theta, T) \cdot dT \quad (32)$$

Thereby, the temperature sensitivity of the proxy value  $\hat{\epsilon}$  used to infer the soil moisture is expressed as:

$$\frac{d\hat{\epsilon}}{dT}(S, \theta, T) = \left. \frac{\partial \hat{\epsilon}}{\partial N} \right|_{N(S, \theta, T)} \cdot \left. \frac{\partial N}{\partial T} \right|_{S, \theta, T} \quad (33)$$



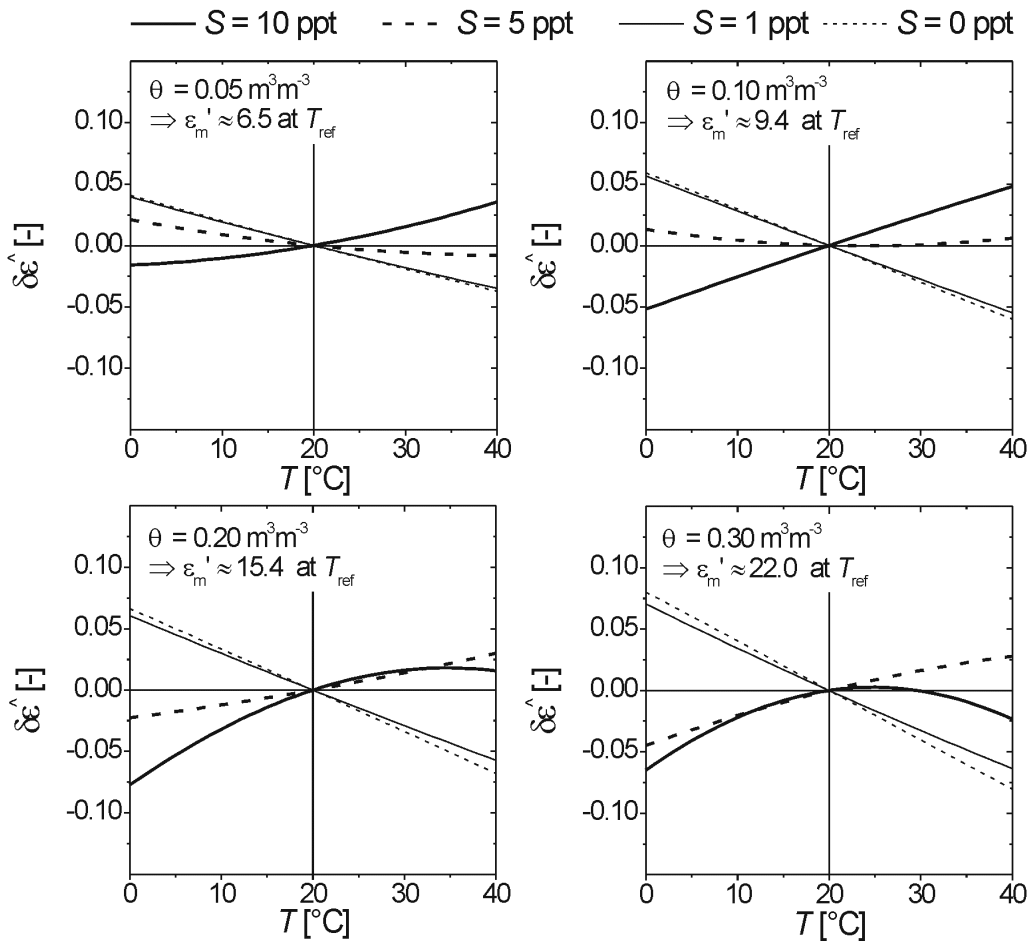
**Figure 12.** a) Computed resonant frequencies  $f_r(T)$  and b) corresponding normalized readings  $N(T)$  of the capacitance sensor. The calculations were based on the electrical properties  $\epsilon_m(T)$  and  $\sigma_m(T)$  of the environmental porous medium shown in figure 6 and 7, respectively. Furthermore, the electrical circuit analogue evaluated for the partial capacitances derived from the field simulations were used to calculate  $f_r$ .

Again,  $\partial \hat{\epsilon} / \partial N$  evaluated at  $N(S, \theta, T)$  was derived from (2), and  $\partial N / \partial T$  evaluated at  $(S, \theta, T)$  was computed from the simulated values of  $N(S, \theta, T)$  shown in figure 12b.

The real part of the medium permittivity  $\epsilon_m'$  used to estimate the relative deviation  $\delta \hat{\epsilon} = \Delta \hat{\epsilon} / \epsilon_m'$  is computed from the dielectric mixing model (8) evaluated for  $T = T_{\text{ref}} = 20^\circ\text{C}$ ,  $S = 0 \text{ ppt}$  and  $f = \langle f_r \rangle = 130 \text{ MHz}$ . The frequency-dependence of these  $\epsilon_m'$  for  $0 \text{ ppt} \leq S \leq 10 \text{ ppt}$  and for  $f$  within the resonant frequency band  $f_{r,\text{min}} \leq f \leq f_{r,\text{max}}$  is minor (see figure 5), so the choice of  $f$  is not critical.

The resulting values computed for the four water contents  $\theta$  are indicated in the corresponding panels of figure 13. For increasing  $T > T_{\text{ref}}$  and for  $S = 0$  (thin dashed lines in figure 13) computed  $\delta \hat{\epsilon}$  are increasingly negative. As  $\sigma_m$  is almost zero in this case for all  $T$  (see figure 7), the difference  $\Delta \hat{\epsilon}$  between

the simulated  $\hat{\varepsilon}$  for  $T \neq T_{ref}$  and for  $T = T_{ref}$  is not caused by the effect of the medium conductivity on the sensor output  $N$  (see figure 9).



**Figure 13.** Relative deviations  $\delta\hat{\varepsilon} = \Delta\hat{\varepsilon} / \varepsilon_m'$  between simulated  $\hat{\varepsilon}$  for  $T = T_{ref} = 20^\circ\text{C}$  and  $T \neq T_{ref}$ . The value  $\varepsilon_m'$  is computed from (8) with  $S = 0$  ppt,  $T_{ref} = 20^\circ\text{C}$ ,  $f = 130$  MHz,  $\varepsilon_g = 5.5 + i 0.2$ , and  $\eta = 0.4$ .

Consequently, the relative deviations  $\delta\hat{\varepsilon}$  for  $S = 0$  are associated with the decrease of the real part of the permittivity  $\varepsilon_m'$  with increasing  $T$  in accordance with the negative temperature coefficient  $d\varepsilon_w'/dT \approx -0.36 \text{ K}^{-1}$  [25] of the liquid phase with volumetric content  $\theta$ . Computed  $\delta\hat{\varepsilon}$  for  $S = 1$  ppt (thin solid lines) are less negative for  $T > T_{ref}$  because the sensor output  $N$  increases with  $\sigma_m$  as a function of  $T$  (see figure 7), which partially compensates for the negative gradients  $d\varepsilon_m'/dT$  (see figure 6a). For  $S = 5$  ppt and 10 ppt (bold dashed and solid lines) the even higher values of  $\sigma_m$  with  $T$  (see figure 7) cause  $N$  to increase with  $T$  such that  $\delta\hat{\varepsilon}$  becomes greater than zero for  $T > T_{ref}$  meaning that the negative gradients  $d\varepsilon_m'/dT$  of the real parts of the medium permittivities are dominated by conductivity effects. However, for  $S = 10$  ppt and  $\theta = 0.30 \text{ m}^3\text{m}^{-3}$  the correspondingly high values of  $\sigma_m$  exceed the sensitive range of  $N$  (figure 9) such that the negative temperature gradient  $d\varepsilon_m'/dT$  has a dominant effect on  $\hat{\varepsilon}$  deduced from  $N$  simulated for  $T > 20^\circ\text{C}$ . At lower water contents (e.g.,  $\theta = 0.10 \text{ m}^3\text{m}^{-3}$ ), the positive effect of  $T$  on  $\delta\hat{\varepsilon}$  is nearly linear for  $S = 10$  ppt over the whole temperature range.

#### 4. Summary and Conclusions

The capacitance sensor investigated here is used to infer soil water content  $\theta$  either from a direct empirical relationship between  $\theta$  and measured resonant frequency  $f_r$  (after normalization by air and water counts) or via estimation of the real part of permittivity  $\epsilon_m'$  as a proxy for  $\theta(\epsilon_m')$ . Here, we refined the theoretical understanding and quantification of the sensor responses to variable  $\theta$ , temperature  $T$ , and liquid salinity  $S$ , including resulting changes in the bulk electrical conductivity of granular soils  $\sigma_m$ . This was achieved by combining a dielectric mixing model, electrical circuit analogue, and finite-element numerical model of the electrical field in and around the sensor for a range of environmental conditions. Within the mixing model, the complex permittivity of saline water was based on previous empirical studies [24]. The model does not handle bulk electrical conductivity due to charged clays, but liquid salinity provides analogous dielectric conditions in the combined model. Effects of bound water on  $\epsilon_m$  were not considered here, but are left for future investigations using a more sophisticated mixing model.

The frequency dependence of  $\epsilon_m$  is confirmed and quantified by the mixing model, showing that  $\epsilon_m'$  is relatively insensitive to  $S$ , while  $\epsilon_m''$  can be highly sensitive to both  $S$  and  $f$  at a given water content and temperature (see figure 5). In the measured frequency range of the sensor ( $100 < f_r < 160$  MHz), this sensitivity is due to conductivity losses rather than high-frequency relaxation losses. The computed values of  $\epsilon_m'(\theta, S, T)$  decrease with  $T$  for all values of  $\theta$  and  $S$ , while the imaginary part  $\epsilon_m''(\theta, S, T)$  increases with  $T$ , and the slope  $d\epsilon_m''/dT$  increases with both  $\theta$  and  $S$ . Again,  $S$  may be considered as surrogate for bulk electrical conductivity,  $\sigma_m$ , given knowledge of the multivariate response of  $\sigma_m(\theta, S, T)$ . Monotonic increases in  $\sigma_m$  with  $\theta$ ,  $S$ , and  $T$  were estimated (figure 7) and used to compute bulk electrical resistance  $R_m$  in the electrical circuit analogue.  $R_m(\epsilon_m')$  was shown to be highly sensitive to  $\epsilon_m'$  only for  $\epsilon_m' < 10$  (figure 8c).

In addition to  $R_m$ , various components of the total sensor capacitance as functions of  $\epsilon_m'$  were computed from numerical simulations of the electrical field, where  $C_m(\epsilon_m')$  was found to be nearly linear (figure 8a). However, the associated geometric factor  $g_m(\epsilon_m')$  of the medium of interest was shown to be highly variable ( $0 < g_m < 0.75$ ) and nonlinear for  $\epsilon_m' < 25$  (figure 8b). This indicates that the geometry of the electrical field surrounding the ring capacitor changes with the permittivity of the medium being measured, as indicated in previous experiments [12, 17, 18].

Given all circuit component values as functions of the environmental variables ( $\theta$ ,  $S$ , and  $T$ ), the resonant frequency and its normalized value  $N$  were computed as functions of  $R_m$  or  $\sigma_m$ . Values of  $N$  increased with  $\sigma_m$  for all values of  $\epsilon_m'(\theta)$ , with a maximum sensitivity at  $\epsilon_m' = 6.54$  (figure 9). Thus, the apparent permittivity  $\hat{\epsilon}(N)$  and water content  $\theta(N)$  are sensitive to  $\sigma_m$ . Estimation errors for  $\epsilon_m'$  were computed as functions of  $\sigma_m$  and  $T$  using the combined model. Temperature variations in the range  $0 < T < 40^\circ\text{C}$  caused deviations in  $\hat{\epsilon}_m'$  within a range of almost  $\pm 10\%$  (figure 13). No additional temperature drifts of the sensor electronics were considered here.

The physics-based theory presented here is of general validity for understanding the sensitivity of capacitance sensors to the electrical conductivity of the surrounding media. Because the soil electrical conductivity also depends on temperature, the resulting modeled instrumental sensitivity has to be taken into account before interpreting thermal effects in measured data. Future studies may use the present

approach and results to identify functions to correct for temperature and salinity effects on the estimated soil water content. Such correction functions can then be compared with laboratory and field data. Based on the potential errors in frequency-based estimates of permittivity computed here, field data measured with such capacitance sensors will not provide reliable absolute estimates of water content without such correction functions.

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